

ESTEE LAUDER COMPANIES INC

Form 4

September 05, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
BARSHEFSKY CHARLENE

(Last) (First) (Middle)

**WILMER, CUTLER &
PICKERING, 2445 M. STREET,
N.W.**

(Street)

WASHINGTON,, DC 20037

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
**ESTEE LAUDER COMPANIES
INC [EL]**

3. Date of Earliest Transaction
(Month/Day/Year)
09/04/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock	09/04/2013		M		10,000	A	\$ 18.5	10,000	I	by a family trust
Class A Common Stock	09/04/2013		S		200	A	\$ 66.8	9,800	I	by a family trust
Class A Common Stock	09/04/2013		S		100	A	\$ 66.81	9,700	I	by a family trust

Edgar Filing: ESTEE LAUDER COMPANIES INC - Form 4

Class A Common Stock	09/04/2013	S	100	A	\$ 66.82	9,600	I	by a family trust
Class A Common Stock	09/04/2013	S	100	A	\$ 66.83	9,500	I	by a family trust
Class A Common Stock	09/04/2013	S	500	A	\$ 66.84	9,000	I	by a family trust
Class A Common Stock	09/04/2013	S	200	A	\$ 66.85	8,800	I	by a family trust
Class A Common Stock	09/04/2013	S	571	A	\$ 66.86	8,229	I	by a family trust
Class A Common Stock	09/04/2013	S	300	A	\$ 66.87	7,929	I	by a family trust
Class A Common Stock	09/04/2013	S	200	A	\$ 66.88	7,729	I	by a family trust
Class A Common Stock	09/04/2013	S	100	A	\$ 66.89	7,629	I	by a family trust
Class A Common Stock	09/04/2013	S	200	A	\$ 66.91	7,429	I	by a family trust
Class A Common Stock	09/04/2013	S	200	A	\$ 66.92	7,229	I	by a family trust
Class A Common Stock						4,000	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
---------------------------------------	------------------------------	---	---	------------------------	--	--	---

Edgar Filing: ESTEE LAUDER COMPANIES INC - Form 4

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)							
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option (Right to Buy) ⁽¹⁾	\$ 18.5	09/04/2013	M		10,000		11/05/2004	11/05/2013	Class A Common Stock	10,000	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BARSHEFSKY CHARLENE WILMER, CUTLER & PICKERING 2445 M. STREET, N.W. WASHINGTON,, DC 20037	X			

Signatures

Charlene Barshefsky, by Maureen Sladek,
Attorney-in-fact 09/05/2013

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Options granted to the Reporting Person pursuant to the Issuer's Non-Employee Director Share Incentive Plan.
- (2) Not applicable.
- (3) The Reporting Person also indirectly holds options to purchase at various prices 77,960 shares of Class A Common Stock, all of which are exercisable, and directly holds options to purchase 4,736 shares of Class A Common Stock at a price of \$57.49.
- (4) Spouse of the Reporting Person is a trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.