## Edgar Filing: LUBRIZOL CORP - Form 5

## LUBRIZOL CORP

Form 5

February 09, 2001

UNITED STATES SECURITIES WASHINGTON, D.C. 20549 FORM 5	ES AND EXCHA	NGE COMMISS	SION			
ANNUAL STATEMENT OF CHA  () Check box if no lor  Form 4 or Form 5 obliga	nger subject ations may c	to Section	n 16.	ons 1(b).		
<ul><li>( ) Form 3 Holdings Rep</li><li>( ) Form 4 Transactions</li><li>1. Name and Address of Hoag, David H.</li><li>The Hanna Building</li></ul>	s Reported	erson				
Suite 545 1422 Euclid Avenue Cleveland, OH 44115						
2. Issuer Name and Tick The Lubrizol Corpora		ng Symbol				
3. IRS or Social Securi	ity Number o	f Reporting	g Person (Vo.	luntary)		
4. Statement for Month, 12/31/00		(24 ) 1 /27	,			
						Securities   Securities   Beneficially   Owned at   End of Year
	t, Date of Original (Month/Year)  p of Reporting Person(s) to Issuer (Check all applicable)  r () 10% Owner () Officer (give title below) () Other  low)  or Joint/Group Reporting (Check Applicable Line)  led by One Reporting Person  led by More than One Reporting Person  n-Derivative Securities Acquired, Disposed of, or Beneficially Owned  curity  2.  3.  4.Securities Acquired (A)  5.Amount of      Transaction  or Disposed of (D)   Securities					
(X) Form filed by Or	ne Reporting ore than One	Person Reporting	Person			
Table I Non-Derivat	tive Securit	ies Acquire	ed, Disposed	of, or Benefi	cially Owned	
1. Title of Security	Tran	saction  c	or Disposed	of (D)	Securities   Beneficiall   Owned at	ĺ
			Amount	D   P	rice   End of Year	
Common Shares		A  1,0	)46.1976	A  (1)	8,239.5998 (2	·)
Common Shares	I			 	200	
Table II Derivative	e Securitite	s Acquired,	Disposed o	f, or Benefici	ally Owned	
1.Title of Derivative Security	version    or Exer    cise Pr    ice of    Deriva	Transaction Date  Code	n rivative Some rities Acquired(A) or Dominion of (D	ecu  cisable a ui  Expiratio Dis  Date(Mont )  Day/Year)  Date  Exp	n   Securities h/        ir	of  vat  Sec  rit
	tive			A/ Exer- ati	on  Title and Numb	er

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	Secu  rity		   Amount	D  cisa- Date   of Shares      ble
Director Stock Option ight to Buy)	(R \$25.718	38 5/1/2 A  000		A  5/1/2 5/1/2 Common Share 1,250      001  010  s
		 		5/1/2 5/1/2 Common Share 625      002  010  s
	   	 		5/1/2 5/1/2 Common Share 625      003  010  s

Explanation of Responses:

(1) Acquired on various dates between January 1 and December 31, 2000, pursuant to Lubrizol's deferred compensation plan and deferred stock compensation plan, at prices ranging from \$18.9375 to \$29.625 per share.

(2) Includes Common Shares that previously were reported on Table I as Phantom Stock Units, which are payable in Common Shares on a 1-for-1 basis.

SIGNATURE OF REPORTING PERSON

/s/ David H. Hoag by Leslie M. Reynolds

DATE

February 8, 2001