Edgar Filing: CareDx, Inc. - Form 4

CareDx, Inc Form 4 April 20, 20	15	ES SECURIT	TES AT	ND EX(СНА	NGE	COMMISSION	-	APPROVAL	
Charle th				D.C. 20				Number:	3235-0287	
	Check this box if no longer whist the STATEMENT OF CHANGES IN BENEFICIAL OW							Expires:	January 31, 2005	
subject to Section 1 Form 4 c Form 5 obligatio	on 16. A 4 or 15 Filed pursuant to Section 16(a) of the Securities Exchange						ge Act of 1934,	Estimated burden ho response	urs per	
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section See Instruction 1(b).										
(Print or Type)	Responses)									
1. Name and A GAGNON	2. Issuer Na Symbol			Tradiı	ıg	5. Relationship of Reporting Person(s) to Issuer				
(Last) (First) (Middle) CareDx, Inc. [CDNA] 3. Date of Earliest Transaction							(Cheo	ck all applicab	le)	
	(First) (Middle) NUE OF THE S, 24TH FLOOR	3. Date of Eat (Month/Day/ 04/16/2015	Year)	nsaction			Director Officer (give below)	e title $\begin{array}{c} \underline{X} \\ \underline{X} \\ 0 \\ below \end{array}$	% Owner her (specify	
NEW YOR	(Street) K, NY 10019	4. If Amendm Filed(Month/E		e Original	l		6. Individual or J Applicable Line) _X_Form filed by Form filed by M Person	One Reporting F	Person	
(City)	(State) (Zip)	Table I -	- Non-De	erivative S	Secur	ities Ac	uired, Disposed o	f, or Beneficia	ally Owned	
1.Title of Security (Instr. 3)	any	eemed 3. tion Date, if Tr Co					5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
G		Co	ode V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	04/16/2015]	Р	2,975	А	\$ 5.56	1,148,783	D		
Common Stock	04/16/2015]	Р	1,877	А	\$ 5.56	1,150,660	D		
Common Stock	04/16/2015]	Р	1,060	А	\$ 5.56	1,151,720	D		
Common Stock	04/16/2015	1	Р	373	A	\$ 5.56	1,152,093	I	By self as Trustee of Gagnon Securities LLC Profit	

								Sharing Plan
Common Stock	04/17/2015	Р	3,177	A	\$ 5.14	1,155,270	D	
Common Stock	04/17/2015	Р	2,036	А	\$ 5.14	1,157,306	D	
Common Stock	04/17/2015	Р	1,149	А	\$ 5.14	1,158,455	D	
Common Stock	04/17/2015	Р	404	A	\$ 5.14	1,158,859	I	By self as Trustee of Gagnon Securities LLC Profit Sharing Plan
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.								
Persons who respond to the collection of information contained in this form are not								SEC 1474 (9-02)

information contained in this form are not (9required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Relationships

Reporting Owner Name / Address

Director 10% Owner Officer Other

Х

GAGNON NEIL 1370 AVENUE OF THE AMERICAS 24TH FLOOR

Reporting Owners

NEW YORK, NY 10019

Signatures

/s/ Neil Gagnon

04/20/2015

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.