**MAXIMUS INC** Form 4

November 15, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* LEDERER PAUL R

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

MAXIMUS INC [MMS] (Middle)

(Zip)

3. Date of Earliest Transaction

X\_ Director 10% Owner

Officer (give title

(Month/Day/Year) 11/15/2016

C/O MAXIMUS INC. ATTN: TREASURY DEPT, 1891 METRO **CENTER DRIVE** 

(First)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

**RESTON, VA 20190** 

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

						_	<del>-</del>		/ =	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	11/15/2016		G <u>(1)</u>	18,690	D	\$0	55,984	D		
Common Stock							18,690	I (2)	By trusts	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration D	ate	Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	TP:41	or		
						Exercisable Date	-	Title			
				C + V	(A) (D)				of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Relationships Reporting Owner Name / Address 10% Owner Officer Other Director LEDERER PAUL R C/O MAXIMUS INC. ATTN: TREASURY DEPT X 1891 METRO CENTER DRIVE RESTON, VA 20190

# **Signatures**

David R. Francis: As Attorney-In-Fact for: Paul R 11/15/2016 Lederer

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction involved a gift of securities to three different trusts for the benefit of the reporting person's children, of which the reporting person's spouse is the trustee. The reporting person's gift is deemed to involve both a disposition of directly owned shares and

- (1) an acquisition (through the person's spouse) of indirectly owned shares. The reporting person disclaims beneficial ownership of the shares held by such trusts, and this report should not be deemed an admission that the reporting person is the beneficial owner of the trusts' shares for purposes of Section 16 or for any other purpose.
- These shares are held in trusts for the benefit of the reporting person's children. The reporting person's spouse is trustee of the trusts. The (2) reporting person disclaims beneficial ownership of the shares held by such trusts, and this report should not be deemed an admission that the reporting person is the beneficial owner of the trusts' shares for the purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2