#### Edgar Filing: PEOPLES FINANCIAL SERVICES CORP. - Form 5

#### PEOPLES FINANCIAL SERVICES CORP.

Form 5

February 08, 2017

redition of	5, 2017											
FORM	<b>4</b> 5								OMB A	APPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION										3235-0362		
Check this box if Washington, D.C. 20549 no longer subject								Number: Expires:	January 31, 2005			
to Section 16. Form 4 or Form 5 obligations may continue.  ANNUAL STATEMENT OF CHANGES IN BENEFICIAL  OWNERSHIP OF SECURITIES							Estimated average burden hours per response 1.0					
See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported Form 4 30(h) of the Investment Company Act of 1940 Transactions Reported												
	Address of Reporting Y THOMAS P	S					5. Relationship of Reporting Person(s) to Issuer					
		C	CORP. [PFIS]					(Check all applicable)				
(Last)		(N 1:	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2016					Director 10% Owner _X Officer (give title Other (specify below)  Chief Lending Officer				
C/O PEOPLES SECURITY BANK & TRUST CO., 150 N WASHINGTON AVE												
Filed(Month/Day/Year)					nt/Group Reporting applicable line)							
SCRANTO	ON, PA 18503											
_X_ Form Filed by One						one Reporting Person ore than One Reporting						
(City)	(State)	(Zip)	Tal	ble I - Non-De	rivative Sec	uritie	s Acqu	ired, Disposed of	, or Beneficia	ally Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/		3. 4. Securities Acquired Transaction (A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Amount (D) Price		of (D)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock	12/31/2016	Â		J	Amount 85.5412	A	\$ 0 (1)		I	PSB&T Employee Stock Ownership Plan		
Common Stock	Â	Â		Â	Â	Â	Â	13,678.412 (2)	D	Â		
	Â	Â		Â	Â	Â	Â		I	IRA		

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Common 5,045.122 Stock (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	e and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration Da	ate	Amou	nt of	Derivative	
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	
	Derivative				Securities			(Instr.	3 and 4)		
	Security				Acquired						
					(A) or						
					Disposed						
					of (D)						
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date	Expiration		Number		
						Exercisable	Date		of		
					(A) (D)				Shares		
					(A) $(D)$				Shares		

## **Reporting Owners**

Reporting Owner Name / Address			Relationships			
• 6	Director	10% Owner	Officer	Other		
LANEY THOMAS P						

TULANEY THOMAS P C/O PEOPLES SECURITY BANK & TRUST CO. 150 N WASHINGTON AVE SCRANTON, PAÂ 18503

 $\hat{A}$   $\hat{A}$   $\hat{A}$  Chief Lending Officer  $\hat{A}$ 

#### **Signatures**

Carla Olenchak, Attorney-in-Fact for Thomas P
Tulaney

02/08/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents an allocation of shares under the Issuer's Employee Stock Ownership Plan.
- (2) Balance includes adjustment for an aggregate of 404.158 shares acquired by the reporting person through dividend reinvestment under the Issuer's Dividend Reinvestment and Stock Purchase Plan.
- (3) Balance includes adjustment for an aggregate of 152.424 shares acquired by the reporting person through dividend reinvestment under the Issuer's Dividend Reinvestment and Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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