#### Edgar Filing: KINGSWAY FINANCIAL SERVICES INC - Form 4

#### KINGSWAY FINANCIAL SERVICES INC

Form 4 June 03, 2015

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \*

SWETS LARRY G JR

2. Issuer Name and Ticker or Trading Symbol

KINGSWAY FINANCIAL SERVICES INC [KFS]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Zip)

(Month/Day/Year) 06/02/2015

KINGSWAY FINANCIAL SERVICES, INC, 150 PIERCE RD

(State)

06/02/2015

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below)

President and CEO

6. Individual or Joint/Group Filing(Check

Applicable Line)

5. Amount of

Securities

Owned

Beneficially

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ITASCA, IL 60143

(City)

Common

Stock

2. Transaction Date 2A. Deemed 1. Title of 3. 4. Securities Acquired Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) (Instr. 3) Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

(A)

(D)

A

6.0866

Code V Amount

342

P

**Following** Reported Transaction(s) (Instr. 3 and 4) Price

6. 7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I) (Instr. 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

(Instr. 4)

 $2,050,129 \stackrel{(1)}{=}$ D (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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9. Nu Deriv Secur Bene Own Follo Repo Trans

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ion Date		nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
									Amount	
						Date	Expiration Date	mi i	or	
						Exercisable		Title	Number	
									of	
				Code V	(A) $(D)$				Shares	

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

SWETS LARRY G JR

KINGSWAY FINANCIAL SERVICES, INC 150 PIERCE RD

ITASCA, IL 60143

### **Signatures**

/s/ Larry G.
Swets, Jr.

\*\*Signature of Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total reflects one-for-four share consolidation effected by the company on July 3, 2012.
- (2) Includes 1,382,665 restricted common shares under the 2013 Equity Incentive Plan, approved by shareholders in May, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. g="3" cellspacing="0" border="0">Reporting Owner Name / AddressRelationships Director 10% Owner Officer OtherMCCOY THOMAS M

ADVANCED MICRO DEVICES, INC.

ONE AMD PLACE

SUNNYVALE, CA 94088-3453 EVP, Chief Admin Officer

#### **Signatures**

Thomas M. 08/08/2006 McCoy

\*\*Signature of Date
Reporting Person

Reporting Owners 2

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- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 19, 2006.
- (2) This option vests 33 1/3 on 4/30/05, then monthly through 4/30/07.

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