

TURPIN IAN J  
Form 4  
March 01, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TURPIN IAN J

2. Issuer Name **and** Ticker or Trading  
Symbol  
TEXAS CAPITAL BANCSHARES  
INC/TX [TCBI]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
2000 MCKINNEY AVE, SUITE 700

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/28/2019

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

DALLAS, TX 75201

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/28/2019		M	600 A	\$ 14.17 8,102	D	
Common Stock	02/28/2019		M	1,200 A	\$ 18.52 9,302	D	
Common Stock	02/28/2019		F	506 D	\$ 60.85 8,796	D	
Common Stock	02/28/2019		S	1,294 D	\$ 60.72 7,502	D	
Common Stock					1,904	I	See Footnote (1)

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Common Stock	9,321	I	See Footnote (2)
Common Stock	5,951	I	See Footnote (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Appreciation Rights	\$ 14.17	02/28/2019		M	600	05/19/2010 <sup>(4)</sup> 05/19/2019	Common Stock 6
Stock Appreciation Rights	\$ 18.52	02/28/2019		M	1,200	05/18/2011 <sup>(5)</sup> 05/18/2020	Common Stock 1,2

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TURPIN IAN J 2000 MCKINNEY AVE, SUITE 700 DALLAS, TX 75201	X			

## Signatures

Mary Helen Hall,  
attorney-in-fact 03/01/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares are owned by the RJN Trust, of which Mr. Turpin's spouse is the trustee.
- (2) Shares are owned by the Nini Gift Trust, of which Mr. Turpin's spouse is the trustee.
- (3) Shares are owned by the Johnson Management Trust, of which Mr. Turpin's spouse is the trustee.
- (4) The stock appreciation rights vested in five equal annual installments beginning May 19, 2010.
- (5) The stock appreciation rights vested in five equal annual installments beginning May 18, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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