CHARTER COMMUNICATIONS, INC. /MO/

Form 4 May 20, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Winfrey Christopher L Issuer Symbol CHARTER COMMUNICATIONS. (Check all applicable) INC. /MO/ [CHTR] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify X_ Officer (give title (Month/Day/Year) below) C/O CHARTER 05/18/2016 **EVP/Chief Financial Officer** COMMUNICATIONS, INC., 400 ATLANTIC STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting STAMFORD, CT 06901 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of Indirect (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Ownership

1.Title of 7. Nature of

Security (Instr. 3) Code Disposed of (D) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) (A)

Transaction(s) (Instr. 4)

(Instr. 3 and 4)

Code V Amount (D) Price

Class A

Common 05/18/2016 Α 505 Α (1) 47,644 (2) D

Stock

Class A By Atalaya Common 49,731 (2) I Management,

Stock LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

Edgar Filing: CHARTER COMMUNICATIONS, INC. /MO/ - Form 4

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate Amount of		Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlying	g Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative Securities		e		Securities	(Instr. 5)	Bene
	Derivative							(Instr. 3 and	d 4)	Own
	Security				Acquired					Follo
					(A) or					Repo
					Disposed					Trans
				of (D)					(Instr	
					(Instr. 3,					
					4, and 5)					
								Amo	aunt	
									Juiit	
							Expiration	or Title Number of	ahar	
							Date		1061	
				Code V	(A) (D)				•••	
				Code V	(A) (D)			Shar	es	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Winfrey Christopher L C/O CHARTER COMMUNICATIONS, INC. 400 ATLANTIC STREET STAMFORD, CT 06901

EVP/Chief Financial Officer

Signatures

/s/Daniel J. Bollinger as attorney-in-fact for Christopher L. Winfrey

05/20/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Received in exchange for 1,033 shares of Time Warner Cable Inc. ("TWC") common stock in connection with the merger transactions among the former Charter Communications, Inc. ("Predecessor"), TWC, Charter Communications, Inc. (f/k/a CCH I, LLC, "Charter")
- (1) and certain of their subsidiaries (the "Merger"). Upon the effective date of the Mergers, the previous day's closing price of TWC's common stock was \$209.56 per share, and the closing price of Predecessor's Class A common stock was \$203.36. For each share of TWC common stock owned prior to the Mergers, Mr. Winfrey received \$100 in cash and 0.48908178 shares of Charter Class A common stock.
- (2) In connection with the Mergers, each outstanding share of Predecessor Class A common stock was converted into 0.9042 shares of Charter Class A common stock. The reported amounts reflect this conversion.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2