

Miller Melisa A
Form 4
February 20, 2019

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Miller Melisa A

2. Issuer Name and Ticker or Trading Symbol
ALLIANCE DATA SYSTEMS
CORP [ADS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
7500 DALLAS PARKWAY, SUITE 700

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
02/15/2019

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP & Pres, Card Services

PLANO, TX 75024

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Common Stock	02/15/2019		A ⁽¹⁾	2,880 A <u>(1)</u>	38,454	D	
Common Stock	02/15/2019		A ⁽²⁾	5,759 A <u>(2)</u>	44,213	D	
Common Stock	02/15/2019		A ⁽³⁾	5,759 A <u>(3)</u>	49,972	D	
Common Stock	02/15/2019		F ⁽⁴⁾	1,902 D \$ 171.22	48,070	D	
Common Stock	02/19/2019		F ⁽⁴⁾	761 D \$ 174.28	42,453 ⁽⁵⁾ ⁽⁶⁾ <u>(7)</u>	D	

Edgar Filing: Miller Melisa A - Form 4

on meeting a relative total shareholder return metric for 2019 and 2020 and subject to continued employment by the Reporting Person on the vesting dates.

- (4) Shares withheld by the Company to satisfy the Reporting Person's tax withholding obligation upon the vesting of restricted stock units.

The total number of securities beneficially owned includes: (a) 19,456 unrestricted shares; (b) 670 unvested units from an award of 1,969 time-based restricted stock units granted 2/15/17; (c) 932 unvested units from an award of 2,745 performance-based restricted stock units granted 2/15/17; (d) 1,271 unvested units from an award of 1,897 time-based restricted stock units granted 2/15/18; (e) 1,929 unvested units from an award of 2,879 performance-based restricted stock units granted 2/15/18; (f) 3,797 unvested performance-based restricted stock units granted 2/15/18; (g) the new grant for 2,880 time-based restricted stock units; (h) the new grant for 5,759 performance-based restricted stock units; and (i) the new grant for 5,759 performance-based restricted stock units.

- (5) Based on the Company's EBT performance in 2018, 75.8% of the original award of 3,797 performance-based restricted stock units granted 2/15/18, or 2,879 units, were earned. The restrictions will lapse with respect to 950 units on 2/18/20 and with respect to 979 units on 2/16/21, subject to continued employment by the Reporting Person on the remaining vesting dates.

- (6) The grant for 3,938 performance-based restricted stock units awarded on 2/15/17 was forfeited due to failure to meet the relative total shareholder return metric for 2017 and 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.