COOPER COMPANIES INC

Form 4 January 10, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5 obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person * McBride Daniel G

(First)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

COOPER COMPANIES INC [COO]

3. Date of Earliest Transaction (Month/Day/Year)

THE COOPER COMPANIES. 01/08/2014 INC., 6140 STONERIDGE MALL ROAD, SUITE 590

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

EVP, COO & General Counsel

10% Owner Other (specify

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Applicable Line)

Director

_X__ Officer (give title

X Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

below)

| PLEASANTON, | CA 94588 |
|-------------|----------|
|-------------|----------|

| (City) | (State) (Z | Zip) Table | e I - Non-Do | erivative S | Securi | ties Ac | quired, Disposed | of, or Beneficia | lly Owned |
|--------------------------------------|---|---|---|--|------------------|---|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities ionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | uired (A) or Securi bosed of (D) Benefi tr. 3, 4 and 5) Owned Follow | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | Code V | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | |
| Common Stock | 01/08/2014 | | M | 2,250 | A | <u>(1)</u> | 16,194 | D | |
| Common Stock | 01/08/2014 | | F | 1,219 (2) | D | \$0 | 14,975 | D | |
| Common Stock | 01/08/2014 | | M | 1,975 | A | <u>(1)</u> | 16,950 | D | |
| Common Stock | 01/08/2014 | | F | 1,031 (2) | D | \$ 0 | 15,919 | D | |
| Common Stock | 01/08/2014 | | M | 681 | A | <u>(1)</u> | 16,600 | D | |

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| | | | | | oond to the col | | SEC 1474 (9-02) | | |
|---|------------|---|-----------|------|-----------------|---|--------------------|--|--|
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. | | | | | | | | | |
| Common Stock | | | | | 2,120 | I | 401(k) Plan | | |
| Common Stock | 01/08/2014 | F | 356 (2) D | \$ 0 | 16,244 | D | | | |

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Pr Deri Secu (Inst |
|---|---|---|---|--|---|---------------------|--------------------|---|--|--------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | \$ 0 (1) | 01/08/2014 | | M | 2,250 | (3) | <u>(4)</u> | Common Stock | 2,250 | ! |
| Restricted Stock Units | \$ 0 (1) | 01/08/2014 | | M | 1,975 | (5) | <u>(4)</u> | Common Stock | 1,975 | ! |
| Restricted Stock Units | \$ 0 (1) | 01/08/2014 | | M | 681 | <u>(6)</u> | <u>(4)</u> | Common Stock | 681 | |

Reporting Owners

| Reporting Owner Name / Address | | | Relationships | |
|--------------------------------|----------|-----------|---------------|-------|
| | Director | 10% Owner | Officer | Other |

McBride Daniel G THE COOPER COMPANIES, INC. 6140 STONERIDGE MALL ROAD, SUITE 590 PLEASANTON, CA 94588

EVP, COO & General Counsel

Reporting Owners 2

Signatures

/s/ Daniel G. 01/09/2014 McBride

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) RSU Exercise Price: There is no exercise price associated with the grant of Restricted Stock Units.
- (2) 1/8/14 RSU Release: Shares withheld to cover applicable tax obligations. A FMV (closing price of the Company's common stock on 1/8/14) of \$124.07 was used.
- (3) Grant Date 11/29/10 RSU Vesting Date: The grant vests in equal portions on the following dates: 1/8/12, 1/8/13, 1/8/14 and 1/8/15.
- (4) RSU Expiration Date: This award has no expiration date. Units will either vest or be forfeit.
- (5) Grant Date 12/14/11 RSU Vesting Date: The grant vests in equal portions on the following dates: 1/8/13, 1/8/14, 1/8/15 and 1/8/16.
- (6) Grant Date 12/12/12 RSU Vesting Date: The grant vests in equal portions on the following dates: 1/8/14, 1/8/15, 1/8/16, 1/8/17 and 1/8/18

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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