Edgar Filing: CHARLES RIVER LABORATORIES INTERNATIONAL INC - Form 4

CHARLES RIVER LABORATORIES INTERNATIONAL INC

Form 4 March 01, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

OMB APPROVAL

See Instruction 1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Smith David Ross | | | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer | | | |
|--|--------------------|----------|---|---|--|--|--|
| | | | CHARLES RIVER LABORATORIES | (Check all applicable) | | | |
| | | | INTERNATIONAL INC [CRL] | Director 10% Owner | | | |
| (Last) (I | First) /ALE STR | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 02/26/2016 | X_ Officer (give title Other (specification) Corporate Executive VP & CFO | | | |
| (S | Street) | | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| WILMINGTON, | MA 0188 | 7 | | Form filed by More than One Reporting Person | | | |

| (City) | (State) (Z | Zip) Table | e I - Non-D | erivative S | Securi | ties Acq | quired, Disposed o | of, or Beneficial | ly Owned |
|--------------------------------------|---|------------|---|-------------|--|------------|---|-------------------|----------|
| 1.Title of Security (Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) | | 3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) | | Securities Form: Direct I Beneficially (D) or I Owned Indirect (I) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | |
| Common Stock (1) | 02/26/2016 | | A | 3,413 | A | \$0 | 10,950 | D | |
| Common Stock | 02/27/2016 | | F | 159 | D | \$ 73.7 | 10,791 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: CHARLES RIVER LABORATORIES INTERNATIONAL INC - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount o Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--|--|--------------------|--|------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Share |
| Stock Options (Right to Buy) | \$ 73.7 | 02/26/2016 | | A | 16,749 | 02/26/2017(2) | 02/26/2021 | Common Stock | 16,749 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Smith David Ross 251 BALLARDVALE STREET WILMINGTON, MA 01887

Corporate Executive VP & CFO

Signatures

/s/ David R.
Smith

**Signature of Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Consists of 3,413 unvested restricted stock units that vest as follows: 853 shares vest one (1) year from the date of issuance, 853 shares (1) vest two (2) years from the date of issuance, 853 shares vest three (3) years from the date of issuance, and 854 shares vest four (4) years from the date of issuance.
- (2) Stock options vest as follows: 4,187 options vest one (1) year from the date of grant, 4,187 options vest two (2) years from the date of grant, 4,187 options vest three (3) years from the date of grant, and , 4,188 options vest four (4) years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2