

STARBUCKS CORP
Form 4
February 06, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BRADLEY WILLIAM W

2. Issuer Name and Ticker or Trading Symbol
STARBUCKS CORP [SBUX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
2401 UTAH AVENUE SOUTH,
SUITE 800

3. Date of Earliest Transaction
(Month/Day/Year)
02/02/2017

Director 10% Owner
 Officer (give title below) Other (specify below)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

SEATTLE, WA 98134

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 02/02/2017 | | M | | 2,966 | A | \$ 11.435 |
| | | | | | 52,582 | (1) | D |
| Common Stock | 02/02/2017 | | M | | 37,400 | A | \$ 11.03 |
| | | | | | 89,982 | | D |
| Common Stock | 02/02/2017 | | M | | 35,084 | A | \$ 15.3925 |
| | | | | | 125,066 | | D |
| Common Stock | 02/02/2017 | | M | | 21,774 | A | \$ 21.82 |
| | | | | | 146,840 | | D |
| Common Stock | 02/02/2017 | | M | | 7,236 | A | \$ 24.87 |
| | | | | | 154,076 | | D |

Edgar Filing: STARBUCKS CORP - Form 4

| | | | | | | | |
|--------------|------------|---|---------|---|-----------------------------|---------|---|
| Common Stock | 02/02/2017 | M | 8,886 | A | \$ 40.495 | 162,962 | D |
| Common Stock | 02/02/2017 | S | 113,346 | D | \$ 54.2299 <u>(2)</u> | 49,616 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying Security (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title |
| Non-qualified Stock Option (Right to Buy) | \$ 40.495 | 02/02/2017 | | M | 8,886 | 11/11/2014 ⁽³⁾ 11/11/2023 | Common Stock | |
| Non-qualified Stock Option (Right to Buy) | \$ 24.87 | 02/02/2017 | | M | 7,236 | 11/19/2013 ⁽⁴⁾ 11/19/2022 | Common Stock | |
| Non-qualified Stock Option (Right to Buy) | \$ 21.82 | 02/02/2017 | | M | 21,774 | 11/14/2012 ⁽⁵⁾ 11/14/2021 | Common Stock | |
| Non-qualified Stock Option (Right to Buy) | \$ 15.3925 | 02/02/2017 | | M | 35,084 | 11/15/2011 ⁽⁶⁾ 11/15/2020 | Common Stock | |
| Non-qualified Stock Option (Right to Buy) | \$ 11.03 | 02/02/2017 | | M | 37,400 | 11/16/2010 ⁽⁷⁾ 11/16/2019 | Common Stock | |
| Non-qualified Stock Option (Right to Buy) | \$ 11.435 | 02/02/2017 | | M | 2,966 | 11/19/2008 ⁽⁸⁾ 11/19/2017 | Common Stock | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| BRADLEY WILLIAM W 2401 UTAH AVENUE SOUTH, SUITE 800 SEATTLE, WA 98134 | | | X | |

Signatures

/s/ Alejandro C. Torres, attorney-in-fact for William W.
Bradley

02/06/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 124 shares acquired on December 2, 2016, representing dividends on deferred stock units pursuant to a dividend reinvestment plan.
This transaction was executed in multiple trades at prices ranging from \$53.975 to \$54.385. The reporting person hereby undertakes to
- (2) provide upon request to the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (3) The option, representing the right to buy a total of 8,886 shares, became exercisable in one increment on November 11, 2014.
- (4) The option, representing the right to buy a total of 7,236 shares, became exercisable in one increment on November 19, 2013.
- (5) The option, representing the right to buy a total of 21,774 shares, became exercisable in one increment on November 14, 2012.
- (6) The option, representing the right to buy a total of 35,084 shares, became exercisable in one increment on November 15, 2011.
- (7) The option, representing the right to buy a total of 37,400 shares, became exercisable in one increment on November 16, 2010.
- (8) The option, representing the right to buy a total of 62,966 shares, became exercisable in one increment on November 19, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.