Ronaghi Mostafa Form 4 June 13, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB 3235-0287

Number:

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may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Ronaghi Mostafa

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(First)

(Street)

(Middle)

ILLUMINA INC [ILMN] 3. Date of Earliest Transaction

Director

10% Owner \_ Other (specify

5200 ILLUMINA WAY

(Month/Day/Year) 06/11/2018

Filed(Month/Day/Year)

X\_ Officer (give title below) Sr VP & CTO

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SAN DIEGO, CA 92122

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secu	rities Acquii	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)		Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	06/11/2018		M	10,500 (1)	A	\$ 28.45	81,496	D	
Common Stock	06/11/2018		S	600 (1)	D	\$ 281.892 (2)	80,896	D	
Common Stock	06/11/2018		S	600 (1)	D	\$ 282.8 (3)	80,296	D	
Common Stock	06/11/2018		S	3,465 (1)	D	\$ 284.485 (4)	76,831	D	
	06/11/2018		S		D		72,096	D	

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Common Stock			4,735 (1)		\$ 285.293 (5)	
Common Stock	06/11/2018	S	1,000 (1)	D	\$ 286.614 71,096 (6)	D
Common Stock	06/11/2018	S	100 (1)	D	\$ 287.27 70,996	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Nun of S
Non-qualified Stock Option (Right to Buy)	\$ 28.45	06/11/2018		M		10,500 (1)	02/28/2009	01/28/2019	Common Stock	10,

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
·Fr. · · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other			
Ronaghi Mostafa 5200 ILLUMINA WAY SAN DIEGO, CA 92122			Sr VP & CTO				

# **Signatures**

By: Scott M. Davies for Mostafa Ronaghi 06/13/2018

\*\*Signature of Reporting Person Date

Reporting Owners 2

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#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was made pursuant to a 10b5-1 plan.
- (2) Weighted average sale price representing 600 shares sold ranging from \$281.50 to \$282.25 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (3) Weighted average sale price representing 600 shares sold ranging from \$282.53 to \$283.41 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (4) Weighted average sale price representing 3,465 shares sold ranging from \$283.93 to \$284.92 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (5) Weighted average sale price representing 4,735 shares sold ranging from \$284.95 to \$285.74 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- Weighted average sale price representing 1,000 shares sold ranging from \$286.17 to \$287.03 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.