Stapley Marc Form 4 December 07, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Stapley Marc

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last) (First) ILLUMINA INC [ILMN]

(Check all applicable)

5200 ILLUMINA WAY

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner Other (specify \_X\_\_ Officer (give title

12/06/2018

below) **EVP Strategy & Corp Developmt** 

6. Individual or Joint/Group Filing(Check

(Street) 4. If Amendment, Date Original

(Middle)

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SAN DIEGO, CA 92122

(City)	(State)	(Zip) Tak	ole I - Non-	-Derivative S	ecurities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	omr Disposed (Instr. 3, 4 a	A) or	(D) Securities		7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common	12/06/2018		Code V S	Amount (1) 100 (1) D	Price \$ 324.95	7,857	D	
Stock	12/00/2010		J	100 2	Ψ 32 1.73	7,037	D	
Common Stock	12/06/2018		S	200 <u>(1)</u> D	\$ 327.1075 (2)	7,657	D	
Common Stock	12/06/2018		S	100 <u>(1)</u> D	\$ 328.34	7,557	D	
Common Stock	12/06/2018		S	200 <u>(1)</u> D	\$ 330.17 (3)	7,357	D	
Common Stock	12/06/2018		S	200 <u>(1)</u> D	\$ 331.27 (4)	7,157	D	

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Common Stock 12/06/2018 S 200 (1) D \$ 333.28 6,957 (6) D

Common 13,909 I By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>.</b>	ate	Unde	ant of rlying	8. Price of Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Stapley Marc

Stock

5200 ILLUMINA WAY EVP Strategy & Corp Developmt SAN DIEGO, CA 92122

**Signatures** 

By: Scott M. Davies for Marc A. Stapley 12/07/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was made pursuant to a 10b5-1 plan.

**(2)** 

Reporting Owners 2

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Weighted average sale price representing 200 shares sold ranging from \$326.76 to \$327.455 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.

- (3) Weighted average sale price representing 200 shares sold ranging from \$329.80 to \$330.54 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (4) Weighted average sale price representing 200 shares sold ranging from \$330.89 to \$331.65 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- Weighted average sale price representing 200 shares sold ranging from \$332.87 to \$333.69 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (6) Includes 1,000 shares previously held by Mr. Stapley's family trust which were transferred to Mr. Stapley and are now owned directly. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.