

SM Energy Co
Form 4
July 03, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Lutey Mary Ellen

(Last) (First) (Middle)

1775 SHERMAN STREET, SUITE
1200

(Street)

DENVER, CO 80203

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol

SM Energy Co [SM]

3. Date of Earliest Transaction
(Month/Day/Year)

07/01/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

VP & Regional Manager

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock; \$.01 Par Value	06/28/2013		J ⁽¹⁾	301 A \$ 44.38	20,631	D	
Common Stock; \$.01 Par Value	06/28/2013		J ⁽²⁾	276 A \$ 44.38	20,907	I ⁽³⁾	By husband
Common Stock; \$.01 Par Value	07/01/2013		M	614 A <u>4</u>	21,521	D	
Common Stock; \$.01	07/01/2013		F	201 D \$ 59.98	21,320	D	

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Par Value								
Common Stock; \$.01 Par Value	07/01/2013	M	410	A	(4)	21,730	I (3)	By husband
Common Stock; \$.01 Par Value	07/01/2013	F	130	D	\$ 59.98	21,600	I (3)	By husband
Common Stock; \$.01 Par Value	07/01/2013	M	188	A	(5)	21,788	D	
Common Stock; \$.01 Par Value	07/01/2013	F	62	D	\$ 59.98	21,726	D	
Common Stock; \$.01 Par Value	07/01/2013	M	146	A	(5)	21,872	I (3)	By husband
Common Stock; \$.01 Par Value	07/01/2013	F	49	D	\$ 59.98	21,823	I (3)	By husband
Common Stock; \$.01 Par Value	07/01/2013	M	373	A	(6)	22,196	D	
Common Stock; \$.01 Par Value	07/01/2013	F	122	D	\$ 59.98	22,074	D	
Common Stock; \$.01 Par Value	07/01/2013	M	296	A	(6)	22,370	I (3)	By husband
Common Stock; \$.01 Par Value	07/01/2013	F	94	D	\$ 59.98	22,276	I (3)	By husband

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. D S (I
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Security			Code	V	(A) or Disposed of (D) (Instr. 3, 4, and 5)		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
					(A)	(D)				
Restricted Stock Units	(4)	07/01/2013	M		614	07/01/2013	07/01/2013	Common Stock	614	
Restricted Stock Units	(4)	07/01/2013	M		410	07/01/2013	07/01/2013	Common Stock	410	
Restricted Stock Units	(5)	07/01/2013	M		188	07/01/2013	07/01/2013	Common Stock	188	
Restricted Stock Units	(5)	07/01/2013	M		146	07/01/2013	07/01/2013	Common Stock	146	
Restricted Stock Units	(6)	07/01/2013	M		373	07/01/2013	07/01/2013	Common Stock	373	
Restricted Stock Units	(6)	07/01/2013	M		296	07/01/2013	07/01/2013	Common Stock	296	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Lutey Mary Ellen 1775 SHERMAN STREET SUITE 1200 DENVER, CO 80203			VP & Regional Manager	

Signatures

Karin M. Writer
(Attorney-In-Fact) 07/03/2013

__Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) The reporting person purchased 301 shares of the issuer's common stock on June 28, 2013, through the issuer's Employee Stock Purchase Plan.
- (2) The reporting person's husband purchased 276 shares of the issuer's common stock on June 28, 2013, through the issuer's Employee Stock Purchase Plan.
- (3) The reporting person's husband is also employed by the issuer, purchases the issuers's common stock through the issuer's Employment Stock Purchase Plan, and receives grants of retricted stock units.

- Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vested over a
- (4) three-year period, with 1/7th vesting on July 1, 2011, 2/7th vesting on July 2, 2012, and 4/7th vesting on July 1, 2013. The vested shares were issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares lapsed.

- Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests over a three-year
- (5) period, with 1/7th vesting on July 2, 2012, 2/7th vesting on July 1, 2013, and 4/7th vesting on July 1, 2014. The vested shares will be issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares will lapse.

- Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests in three equal
- (6) annual installments beginning on July 1, 2013. The vested shares will be issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares will lapse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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