Express Scripts Holding Co. Form 4

July 19, 2013

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2005 Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

3235-0287

January 31,

OMB

Number:

Expires:

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * LAHOWCHIC NICHOLAS |         |       | 2. Issuer Name <b>and</b> Ticker or Trading Symbol Express Scripts Holding Co. [ESRX] | 5. Relationship of Reporting Person(s) to Issuer  |  |  |  |
|--|---------|-------|---|---|--|--|--|
| (Last)  C/O EXPRES  HOLDING C  EXPRESS W                     | COMPANY | ~     | 3. Date of Earliest Transaction (Month/Day/Year) 07/17/2013                           | (Check all applicable)  _X_ Director 10% Owner Officer (give title below)  Other (specify below)  |  |  |  |
| (Street) ST. LOUIS, MO 63121                                 |         |       | 4. If Amendment, Date Original Filed(Month/Day/Year)                                  | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting PersonForm filed by More than One Reporting Person |  |  |  |
| (City)   | (State) | (Zip) | Table I - Non-Derivative Securities Acc   | quired, Disposed of, or Beneficially Owne   |  |  |  |

| (City)                               | (State)                                 | (Zip) Tab   | ole I - Non-                            | Derivative                                | Secui | rities Acquir | red, Disposed of,  | or Beneficiall   | y Owned   |
|--------------------------------------|---|---|---|---|-------|---------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securit<br>onor Dispos<br>(Instr. 3, 4 | ed of | ` ′           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 07/17/2013                              |   | S(1)                                    | 9,728                                     | D     | \$ 66         | 24,903   | D  |   |
| Common<br>Stock                      | 07/17/2013                              |   | M(1)                                    | 16,968                                    | A     | \$ 24.64      | 41,871   | D  |   |
| Common<br>Stock                      | 07/17/2013                              |   | D <u>(1)</u>                            | 6,319                                     | D     | \$ 66.17      | 35,552   | D  |   |
| Common<br>Stock                      | 07/19/2013                              |   | S(1)                                    | 10,649                                    | D     | \$<br>66.4887 | 24,903   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount<br>Underlying Securitie<br>(Instr. 3 and 4) |                              |
|---|---|---|---|--|--|--------|--|--------------------|---|------------------------------|
|   |   |   |   | Code V                                 | (A)  | (D)    | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amou<br>or<br>Numb<br>of Sha |
| Stock<br>Appreciation<br>Right                      | \$ 24.64  | 07/17/2013                              |   | M <u>(1)</u>                           | 1  | 16,968 | (2)  | 05/23/2014         | Express<br>Scripts<br>Holding<br>Company<br>Common<br>Stock     | 16,9                         |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LAHOWCHIC NICHOLAS C/O EXPRESS SCRIPTS HOLDING COMPANY ONE EXPRESS WAY ST. LOUIS, MO 63121



## **Signatures**

**Nicholas** 

LaHowchic 07/19/2013

\*\*Signature of Person Date

Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by Reporting Person on March 5, 2013.
- (2) Stock Appreciation Right vested in three equal installments on the first three anniversaries of the grant date.
- (3) Stock Appreciation Right granted pursuant to Express Scripts, Inc. 2000 Long-Term Incentive Plan.

Reporting Owners 2

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