Edgar Filing: ASTRO MED INC /NEW/ - Form 4

| ASTRO MEI Form 4 | O INC /NEW/ | | | | | | | | | | |
|--|---|----------------|---|---|-------------|--|--|---|------------------|------------------------|--|
| February 04, | 2014 | | | | | | | | | | |
| FORM | | | | | | | | | | PPROVAL | |
| | UNITED | STATES | | ITIES Al hington, | | | NGE (| COMMISSION | OMB Number: | 3235-0287 | |
| Check thi if no long | er | | | ~ | | ~~ | | | Expires: | January 31, 2005 | |
| subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES | | | | | 'NERSHIP OF | Estimated a burden hou | average Irs per | | | | |
| Form 4 or Form 5 | Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, | | | | | | | response | 0.5 | | |
| obligation may conti <i>See</i> Instru 1(b). | nue. Section 17(| a) of the | | lity Hold | ling Com | pany | Act o | of 1935 or Section | n | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| PIZZUTI EVERETT V Syn | | | 2. Issuer Symbol | 2. Issuer Name and Ticker or Trading Symbol | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | ASTRO MED INC /NEW/ [ALOT] | | | | | (Check all applicable) | | | |
| (Last) | (First) (M | Aiddle) | 3. Date of Earliest Transaction (Check | | | | | | | | |
| ASTROMEI AVENUE | D, 600 E GREEN | WICH | (Month/Da 01/31/20 | - | | | | X Director Officer (give below) | | 6 Owner er (specify | |
| | (Street) | | 4. If Amen | dment, Dat | te Original | | | 6. Individual or J | oint/Group Filin | ng(Check | |
| Filed(Month/ WEST WARWICK, RI 02893 | | | | | | | | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| | | | | | | | | Person | | | |
| (City) | (State) | (Zip) | Table | I - Non-D | erivative S | Securi | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | |
| (Instr. 3) any | | Executi any | on Date, if TransactionAcquired (A) or Code Disposed of (D) /Day/Year) (Instr. 8) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock | 01/31/2014 | | | М | 7,566 | А | <u>(1)</u> | 243,122 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number action of Derivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|-------|--|--------------------|---|--|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Restricted Stock Units | <u>(1)</u> | 01/31/2014 | | М | | 3,400 | 01/31/2014 | 01/31/2014 | Common Stock | 3,400 |
| Restricted Stock Units | <u>(1)</u> | 01/31/2014 | | М | | 4,166 | 01/31/2014 | 01/31/2014 | Common Stock | 4,166 |

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Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|
| 1 0 | Director | 10% Owner | Officer | Other | | | |
| PIZZUTI EVERETT V ASTROMED 600 E GREENWICH AVENUE WEST WARWICK, RI 02893 | Х | | | | | | |
| Signatures | | | | | | | |

Margaret D. Farrell (Attorney-in-fact for Everett V. Pizzuti)

**Signature of Reporting Person

02/04/2014 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents the equivalent of one share of ALOT common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.