ASTRO MED INC /NEW/

Form 4 April 11, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

OMB APPROVAL

3235-0287 Number:

January 31, Expires: 2005

0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * Ondis April

2. Issuer Name and Ticker or Trading Symbol

ASTRO MED INC /NEW/ [ALOT]

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(City)

(First)

(State)

(Middle)

(Zin)

3. Date of Earliest Transaction

(Month/Day/Year) 04/09/2014

(Check all applicable)

Director X__ 10% Owner _ Other (specify Officer (give title below)

C/O ASTRO-MED, INC., 600 E **GREENWICH AVENUE**

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

W WARWICK, RI 02893

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Bend							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/09/2014		M M	3,437	A	\$ 8.7273	126,881	D	
Common Stock	04/09/2014		F(1)	2,406	D	\$ 12.47	124,475	D	
Common Stock							650	I	Held under the issuer's

the issuer's **Employee** Stock Ownership Plan for the account of the reporting

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							1	person		
Common Stock						1,450,452	I (1)	Held by the Estate of Albert W. Ondis of which the reporting person is a co-executor		
Common						3,858	t]	Held under the issuer's Employee Stock Ownership		
Stock						- /]	Plan for the account of Albert W.		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned										
			ıts, calls, warrants,							
1. Title of Derivative Security (Instr. 3)	ivative Conversion (Month/Day/Year) Execution Date, if urity or Exercise any		4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Purchase)	\$ 8.7273	04/09/2014		M	3,437	04/19/2005	04/19/2014	Common Stock	3,437	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Ondis April C/O ASTRO-MED, INC. 600 E GREENWICH AVENUE W WARWICK, RI 02893

X

Signatures

Margaret D. Farrell (Attorney-in-Fact for April Ondis)

04/11/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person delivered 2406 shares of the issuer's common stock to pay the exercise price of the options as reported herein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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