

SUMMIT FINANCIAL GROUP INC

Form 4/A

July 23, 2015

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
George George R.

2. Issuer Name and Ticker or Trading
Symbol
SUMMIT FINANCIAL GROUP
INC [SMMF]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
06/01/2015

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

PO BOX 8523

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)
06/03/2015

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

CHARLESTON, WV 25303

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)			
			Code	V	Amount (A) or (D) Price					
Common Stock	06/01/2015		X		2,428 (1)	A	\$ 9.75	44,607 (1)	I	by 401(k) Plan FBO Spouse
Common Stock	06/01/2015		X		1,047 (2)	A	\$ 9.75	19,228	I	By Sellaro Enterprises, Inc.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Subscription Rights (right to buy)	\$ 9.95	06/01/2015		X	42,179 (3)	04/10/2015	05/29/2015	Common Stock	2,248 (3)
Subscription Rights (right to buy)	\$ 9.95	06/01/2015		X	18,181 (4)	04/10/2015	05/29/2015	Common Stock	1,047 (4)

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
George Georgette R. PO BOX 8523 CHARLESTON, WV 25303	X

Signatures

Teresa D. Ely, Lmted POA
Attorney-in-Fact 07/23/2015

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On June 3, 2015, the reporting person filed a Form 4 reporting the acquisition of 2,692 shares of common stock. The correct number of shares of common stock acquired was 2,428. As of June 1, 2015, the amount of securities beneficially owned following the reported transaction was 44,607 shares of common stock.

(2) The reporting person inadvertently omitted reporting on her original Form 4 the acquisition of 1,047 shares by a company controlled by the reporting person's spouse.

(3) On June 3, 2015, the reporting person filed a Form 4 reporting the exercise of 2,692 subscription rights to buy common stock. The correct number of subscription rights exercised was 42,179. The reporting person also reported the acquisition of 2,692 shares of common stock. The correct number of shares of common stock acquired was 2,248.

(4) The reporting person inadvertently omitted reporting the exercise of 18,181 subscription rights to buy common stock by a company controlled by her spouse resulting in the acquisition of 1,047 shares of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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