

VAN BRYCE  
Form 3  
August 22, 2017

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â VAN BRYCE		(Month/Day/Year)	LIQUIDMETAL TECHNOLOGIES INC [LQMT]	
(Last)	(First)	(Middle)	08/16/2017	
20321 VALENCIA CIRCLE			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
LAKE FOREST, CA 92630			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			Vice President of Finance	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date			
		Title	Amount or Number of Shares		

## Edgar Filing: VAN BRYCE - Form 3

Employee Stock Option (right to buy)	Â <u>(1)</u>	10/23/2023	Common Stock	90,000	\$ 0.15	D	Â
Employee Stock Option (right to buy)	Â <u>(2)</u>	02/05/2024	Common Stock	300,000	\$ 0.29	D	Â
Employee Stock Option (right to buy)	Â <u>(3)</u>	01/27/2025	Common Stock	165,000	\$ 0.14	D	Â
Employee Stock Option (right to buy)	Â <u>(4)</u>	02/17/2026	Common Stock	241,500	\$ 0.07	D	Â
Employee Stock Option (right to buy)	Â <u>(5)</u>	02/07/2027	Common Stock	120,000	\$ 0.23	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
VAN BRYCE 20321 VALENCIA CIRCLE LAKE FOREST, CA 92630	Â	Â	Â Vice President of Finance	Â

## Signatures

/s/ Curt P. Creely, as Attorney-in-Fact for  
Bryce Van

08/22/2017

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares underlying these grants vest 20% following the first anniversary of the October 23, 2013 grant date, and on a ratable monthly basis following such date for the remaining four years thereof.
  - (2) The shares underlying these grants vest 20% following the first anniversary of the February 5, 2014 grant date, and on a ratable monthly basis following such date for the remaining four years thereof.
  - (3) The shares underlying these grants vest 20% following the first anniversary of the January 27, 2015 grant date, and on a ratable monthly basis following such date for the remaining four years thereof.
  - (4) The shares underlying these grants vest 20% following the first anniversary of the February 17, 2016 grant date, and on a ratable monthly basis following such date for the remaining four years thereof.
  - (5) The shares underlying these grants vest 33.33% following the first anniversary of the February 7, 2017 grant date, and on a ratable monthly basis following such date for the remaining two years thereof.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.