

Rolchigo Philip M.
Form 3
June 04, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Rolchigo Philip M.

(Last) (First) (Middle)

5500 WAYZATA BLVD.,
SUITE 800

(Street)

GOLDEN
VALLEY,Â MNÂ 55416

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

06/04/2018

3. Issuer Name **and** Ticker or Trading Symbol
PENTAIR plc [PNR]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner

☒ X ___ Officer ___ Other

(give title below) (specify below)

EVP & Chief Technology Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ X ___ Form filed by One Reporting

Person

___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Shares

2,165

D

Â

Common Shares - Restricted Stock Units

15,697.8

D

Â

Common Shares

61.5624

I

By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying

4. Conversion

5. Ownership

6. Nature of Indirect Beneficial Ownership

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	Date Exercisable	Expiration Date	Derivative Security (Instr. 4) Title	Amount or Number of Shares	or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Stock Option (right to buy)	Â (2)	01/02/2023	Common Shares	2,531	\$ 33.72	D	Â
Stock Option (right to buy)	Â (2)	03/03/2024	Common Shares	2,427	\$ 53.49	D	Â
Stock Option (right to buy)	Â (2)	03/02/2025	Common Shares	3,588	\$ 44.11	D	Â
Stock Option (right to buy)	Â (1)	03/01/2026	Common Shares	6,493	\$ 32.75	D	Â
Stock Option (right to buy)	Â (1)	03/01/2027	Common Shares	5,467	\$ 39.88	D	Â
Stock Option (right to buy)	Â (1)	05/02/2028	Common Shares	12,213	\$ 45.42	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Rolchigo Philip M. 5500 WAYZATA BLVD., SUITE 800 GOLDEN VALLEY, MN 55416	Â	Â	Â EVP & Chief Technology Officer	Â

Signatures

/s/ John K. Wilson, Attorney-in-Fact for Philip M. Rolchigo

06/04/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) One-third of the stock options become exercisable on the first, second and third anniversary of the grant.

(2) This option is presently exercisable in full.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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