Mathieu Christopher M. Form 4

May 11, 2012

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

05/10/2012

(Print or Type Responses)

1. Name and Address of Reporting Person * Mathieu Christopher M.			2. Issuer Name <b>and</b> Ticker or Trading Symbol Horizon Technology Finance Corp	5. Relationship of Reporting Person(s) to Issuer			
			[HRZN]	(Check all applicable)			
(Last)	(First) (l	,	3. Date of Earliest Transaction Month/Day/Year)	Director 10% OwnerX_ Officer (give title Other (specify			
312 FARMINGTON AVENUE			05/10/2012	below) below) SVP, CFO and Treasurer			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
FARMING	TON, CT US 060	)32		Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Ac	quired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/	Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)	5. Amount of Securities Ownership Indirect Beneficially Form: Direct Beneficial Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) Transaction(s) (Instr. 3 and 4)			
Common Stock				52,641 I $\begin{array}{c} \text{By} \\ \text{HTF-CHF} \\ \text{Holdings} \\ \text{LLC} \\ \hline \end{array}$			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

P

500

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

1,500

#### Edgar Filing: Mathieu Christopher M. - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exer		7. Title		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secur
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	*	Title Number of	Number		
						Lacicisable			of		
				Code V	(A) (D)				Shares		

### **Reporting Owners**

FARMINGTON, CT US 06032

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Mathieu Christopher M. 312 FARMINGTON AVENUE			SVP, CFO				
EADMINICTION CT HIS 06020			and Treasurer				

# **Signatures**

/s/Christopher M. 05/11/2012 Matheiu \*\*Signature of Reporting Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein. The reported securities are owned directly by HTF-CHF Holdings LLC of which Reporting Person owns 15.5%.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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