NEKTAR THERAPEUTICS Form 8-K August 28, 2013

# **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# FORM 8-K

### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of report (Date of earliest event reported): August 26, 2013

### **NEKTAR THERAPEUTICS**

(Exact Name of Registrant as Specified in Charter)

Delaware 0-24006 94-3134940

(State or Other Jurisdiction (Commission (IRS Employer

of Incorporation)

File
Number)

Identification No.)

**455 Mission Bay Boulevard South** 

San Francisco, California 94158

(Address of Principal Executive Offices and Zip Code)

Registrant's telephone number, including area code: (415) 482-5300

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

"Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

"Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

"Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

"Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

# **Item 7.01 Regulation FD Disclosure**

On August 26, 2013, AstraZeneca filed a Marketing Authorisation Application ("MAA") with the European Medicines Agency ("EMA") for naloxegol. Under the terms of the License Agreement, dated September 20, 2009, as amended, between AstraZeneca and Nektar Therapeutics ("Nektar"), AstraZeneca is obligated to pay Nektar a \$25 million milestone payment within 5 business days of acceptance of the MAA by the EMA. The EMA typically makes its validation and acceptance determinations regarding MAAs within 30 days after filing, although the outcome and exact timing of such validation and acceptance determination remains subject to the discretion of the EMA.

On August 28, 2013, AstraZeneca filed a New Drug Submission ("NDS") with Health Canada ("HC") for naloxegol. HC typically makes its validation and acceptance decisions regarding NDSs within 45 days after filing, although the outcome and exact timing of such validation and acceptance determination remains subject to the discretion of HC.

For information regarding important risks and uncertainties associated with the above forward-looking statements regarding Nektar's potential receipt of a regulatory milestone and the outcome and timing of the EMA and HC validation and acceptance of the filings for naloxegol, please refer to the risk factor section of Nektar's Quarterly Report on Form 10-Q filed with the Securities and Exchange Commission on August 9, 2013. Actual results could differ materially from this forward-looking statement and Nektar undertakes no obligation to update the forward-looking statement, whether as a result of new information, future events or otherwise.

The information in this Item 7.01 is being furnished and shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liability of that section, nor shall such information be deemed to be incorporated by reference in any registration statement or other document filed under the Securities Act of 1933, as amended, or the Exchange Act, except as otherwise stated in such filing.

# **SIGNATURES**

Pursuant to the requirement of the Exchange Act, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

By: /s/ Gil M. Labrucherie Gil M. Labrucherie General Counsel and Secretary

August 28, 2013

Date: