

CTI INDUSTRIES CORP  
Form 8-K  
August 14, 2017

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**WASHINGTON, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the**

**Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported): August 11, 2017

**CTI INDUSTRIES CORPORATION**

(Exact name of registrant as specified in its charter)

Illinois

(State or other jurisdiction of incorporation)

**000-23115**                      **36-2848943**  
(Commission File Number) (IRS Employer Identification No.)

**22160 N. Pepper Road Lake Barrington, Illinois 60010**  
(Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code: **(847) 382-1000**

**Not applicable**

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

☐ Pre-commencement communications pursuant to Rule 13c-4(c) under the Exchange Act (17 CFR 240.13c-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

### **Item 1.01 Results of Operations and Financial Condition**

On August 11, 2017, CTI Industries Corporation issued a press release reporting earnings and other financial results for its three and six month period ended June 30, 2017. A copy of the Press Release is attached as Exhibit 99.1.

The information disclosed under this Item 1.01 (including Exhibit 99.1) shall not be deemed “filed” for the purposes of Section 18, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that section.

### **Item 9.01 Financial Statements and Exhibits**

(c) Exhibits:

| <u>Exhibit No.</u> | <u>Exhibit</u> |
|--------------------|----------------|
|--------------------|----------------|

|       |                                                                                                                                         |
|-------|-----------------------------------------------------------------------------------------------------------------------------------------|
| 99.1* | Press Release dated August 11, 2017, captioned: “CTI Industries Corporation Reports Results of Operations For the Second Quarter 2017.” |
|-------|-----------------------------------------------------------------------------------------------------------------------------------------|

\* Filed herewith

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CTI Industries Corporation  
(Registrant)

Date: August 14, 2017 By: /s/ Stephen M. Merrick  
Stephen M. Merrick, President

**EXHIBIT INDEX**

| Exhibit<br>Number | Description                                                                                                                             |
|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------|
| 99.1              | Press Release dated August 11, 2017, captioned: "CTI Industries Corporation Reports Results of Operations For the Second Quarter 2017." |