Edgar Filing: FLUIDIGM CORP - Form 4

| FLUIDIGM | CORP | | | | | | | | |
|---|-------------------|-----------|---|-----------------------|---------------|---|---------------------------------------|-------------------------|-------------|
| Form 4 | | | | | | | | | |
| June 18, 201 | 5 | | | | | | | | |
| FORM | ΙΔ | | | | | | | OMB AF | PROVAL |
| | ■ → UNITED | STATES | | RITIES A shington, | | | COMMISSION | OMB Number: | 3235-0287 |
| Check th | | | | 8, | | | | Expires: | January 31, |
| if no longer while the statement of CHANGES IN BENEFICIAL OWNERSHIP OF | | | | | | | NERSHIP OF | • | 2005 |
| subject to Section 16. SECURITIES | | | | | | | Estimated average burden hours per | | |
| Form 4 o | | | | | | | | response | 0.5 |
| Form 5 | Filed put | rsuant to | Section 1 | 6(a) of the | e Securiti | es Exchang | e Act of 1934, | | 0.0 |
| obligatio | ns Section 17(| | | | | U U | f 1935 or Section | 1 | |
| may cont See Instr | linue. | | | • | • | y Act of 194 | | | |
| 1(b). | uction | ~ / | | | 1. | | | | |
| (Print or Type I | Responses) | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> JOG VIKRAM | | | 2. Issuer Name and Ticker or Trading Symbol FLUIDIGM CORP [FLDM] | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | | | | | | | |
| | | | | | | (Check all applicable) | | | |
| (Last) | (First) (| Middle) | 3. Date of | Earliest Tr | ansaction | | | | |
| FLUIDIGM CORPORATION, 7000 | | | (Month/Day/Year) 06/16/2015 | | | Director | | Owner | |
| | | | | | | XOfficer (give titleOther (specify below) below) | | | |
| SHORELIN | E COURT, SUI | TE 100 | | | | | CHIEF FIN | NANCIAL OFF | ICER |
| (Street) | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | | | |
| | | | | | | | | | |
| SOUTH SA | N | | | | | | Form filed by M Person | lore than One Re | porting |
| FRANCISC | CO, CA 94080 | | | | | | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative S | Securities Acc | uired, Disposed of | , or Beneficial | ly Owned |
| 1.Title of Security | any | | ion Date, if Transaction(A) or Disposed of (D | | sposed of (D) | 5. Amount of Securities | 6. Ownership Form: Direct | | |
| (Instr. 3) | | | Code (Instr. 3, 4 and 5) $(D = (W = 1)^{-1}$ | | | and 5) | Beneficially | (D) or | Beneficial |
| | | (Month/ | Day/Year) (Instr. 8) | | | Owned Following | Indirect (I) (Instr. 4) | Ownership (Instr. 4) | |
| | | | | | | (A) | Reported Transaction(s) | (1100. 7) | (шоц. т) |

| | | Code | v | Amount | or (D) | Price | (Instr. 3 and 4) | |
|-----------------|------------|--------------|---|--------|-----------|-------------|------------------|---|
| Common Stock | | | | | | | 2,061 | Ι |
| Common Stock | 06/16/2015 | М | | 3,550 | А | \$ 16.73 | 4,961 | D |
| Common Stock | 06/16/2015 | S <u>(2)</u> | | 3,550 | D | \$ 25 | 1,411 | D |

or

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

See Footnote (1)

Edgar Filing: FLUIDIGM CORP - Form 4

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8 C S (1 |
|---|---|---|---|---|---------|--|--------------------|---|--|-------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Employee Stock Option (Right to Buy) | \$ 16.73 | 06/16/2015 | | М | 3,550 | <u>(3)</u> | 02/15/2023 | Common Stock | 3,550 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|-------------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| JOG VIKRAM FLUIDIGM CORPORATION 7000 SHORELINE COURT, SUITE 100 SOUTH SAN FRANCISCO, CA 94080 | | | CHIEF FINANCIAL OFFICER | | | | |

Signatures

/s/ Valerie Barnett, attorney-in-fact 06/18/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held indirectly by the Vikram and Pratima Family Trust U/A dated June 23, 2009.
- (2) The sales reported by Mr. Jog were effected pursuant to a Rule 10b5-1 trading plan adopted on September 13, 2013.
- (3) 1/48th of the shares subject to the Option vested on February 1, 2013 and 1/48th of the shares subject to the Option vested on March 1, 2013 and each month thereafter, such that the Option will be fully vested on January 1, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Edgar Filing: FLUIDIGM CORP - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.