NELSON DAVID D

Form 5

February 08, 2013

OMB APPROVAL FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer NELSON DAVID D Symbol WEST BANCORPORATION INC (Check all applicable) [WTBA] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) _X_ Director 10% Owner _X__ Officer (give title Other (specify (Month/Day/Year) below) below) 05/31/2011 CEO & PRESIDENT 1601 22ND STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) WEST DES MOINES, Â IA Â 50266 _X_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. 7. Nature of Transaction Security (Month/Day/Year) Execution Date, if Acquired (A) or Securities Ownership Indirect (Instr. 3) Code Disposed of (D) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end (D) or Ownership of Issuer's Indirect (I) (Instr. 4) Fiscal Year (Instr. 4) (A) (Instr. 3 and or Price Amount (D) **COMMON** Â Â 11/29/2011 L5 \$ (1) 49,643 D 101 A **STOCK** COMMON Â \$ (1) 49,801 Â 02/28/2012 L 158 A D **STOCK** COMMON

\$ (1) 49,970

\$ ⁽¹⁾ 50,170

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Â

L

L

169

200

A

05/29/2012

08/28/2012

STOCK

STOCK

COMMON

Â

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D

D

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COMMON STOCK	11/27/2012	Â	L	235	A	\$ <u>(1)</u>	50,405	D	Â
COMMON STOCK	12/31/2012	Â	P	5,269	A	\$ (2)	55,674	D	Â
COMMON STOCK	05/31/2011	Â	L5	27	A	\$ <u>(1)</u>	4,027	I	By Katherine A. Nelson Rev Trust
COMMON STOCK	08/30/2011	Â	L5	22	A	\$ <u>(1)</u>	4,049	I	By Katherine A. Nelson Rev Trust
COMMON STOCK	11/29/2011	Â	L5	31	A	\$ (1)	4,080	I	By Katherine A. Nelson Rev Trust
COMMON STOCK	02/28/2012	Â	L	33	A	\$ (1)	4,113	I	By Katherine A. Nelson Rev Trust
COMMON STOCK	05/29/2012	Â	L	35	A	\$ (1)	4,148	I	By Katherine A. Nelson Rev Trust
COMMON STOCK	08/28/2012	Â	L	41	A	\$ (1)	4,189	I	By Katherine A. Nelson Rev Trust
COMMON STOCK	11/27/2012	Â	L	40	A	\$ <u>(1)</u>	4,229	I	By Katherine A. Nelson Rev Trust
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.								SEC 2270 (9-02)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration Date	Amount of	Derivative	O
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	D
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	S
	Derivative				Securities	3	(Instr. 3 and 4)		В
	Security				Acquired				O
					(A) or				Е
					Disposed				Is

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of (D) (Instr. 3, 4, and 5)

(A) (D) Date Exercisable Date

Expiration Title Amount

Number

of Shares

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

NELSON DAVID D

ÂX CEO & PRESIDENT Â 1601 22ND STREET

WEST DES MOINES, Â IAÂ 50266

Signatures

Marie I. Roberts, By Power of Attorney

02/08/2013

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Share acquired pursuant to reinvestment of dividends received into purchases of new shares.
- (2) Shares acquired pursuant to purchases in the employee savings plan (401(k)).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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