#### Edgar Filing: SIMMONS FIRST NATIONAL CORP - Form 4

SIMMONS FIRST NATIONAL CORP Form 4 February 26, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Bartlett David L Issuer Symbol SIMMONS FIRST NATIONAL (Check all applicable) CORP [SFNC] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_ Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) SIMMONS FIRST NATIONAL 02/24/2014 President and CBO CORP, 501 MAIN STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting PINE BLUFF, AR 71603 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 6. Ownership 7. Nature of 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) anv Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) Owned Indirect (I) Ownership (Instr. 8) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price 3.510 \$ **SFNC** 02/24/2014 A A 33,561 D (1) 34.49

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**SFNC** 

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2,140

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option	\$ 24.5	05/23/2005		Х	0	05/23/2007	05/24/2015	Common	222
Incentive Stock Option	\$ 24.5	05/23/2005		Х	0	05/23/2008	05/24/2015	Common	222
Incentive Stock Option	\$ 24.5	05/23/2005		Х	0	05/23/2009	05/24/2015	Common	222
Incentive Stock Option	\$ 24.5	05/23/2005		Х	0	12/31/2005	05/24/2015	Common	444
Incentive Stock Option	\$ 26.19	05/22/2006		Х	0	05/22/2007	05/20/2016	Common	360
Incentive Stock Option	\$ 26.19	05/22/2006		Х	0	05/22/2008	05/20/2016	Common	360
Incentive Stock Option	\$ 26.19	05/22/2006		Х	0	05/22/2009	05/20/2016	Common	360
Incentive Stock Option	\$ 26.19	05/22/2006		Х	0	05/22/2010	05/20/2016	Common	360
Incentive Stock Option	\$ 26.19	05/22/2006		Х	0	05/22/2011	05/20/2016	Common	360
Non-Qualified Stock Option	\$ 26.19	05/22/2006		Х	0	05/22/2007	05/20/2016	Common	500
Non-Qualified Stock Option	\$ 26.19	05/22/2006		Х	0	05/22/2008	05/20/2016	Common	500
Non-Qualified Stock Option	\$ 26.19	05/22/2006		Х	0	05/22/2009	05/20/2016	Common	500
Non-Qualified Stock Option	\$ 26.19	05/22/2006		Х	0	05/22/2010	05/20/2016	Common	500
Non-Qualified Stock Option	\$ 26.19	05/22/2006		Х	0	05/22/2011	05/20/2016	Common	3,000

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Incentive Stock Option	\$ 28.42	05/31/2007	Х	0	05/31/2008	05/31/2017	Common	480
Incentive Stock Option	\$ 28.42	05/31/2007	Х	0	05/31/2009	05/31/2017	Common	480
Incentive Stock Option	\$ 28.42	05/31/2007	Х	0	05/31/2010	05/31/2017	Common	480
Incentive Stock Option	\$ 28.42	05/31/2007	Х	0	05/31/2011	05/31/2017	Common	480
Incentive Stock Option	\$ 28.42	05/31/2007	Х	0	05/31/2012	05/31/2017	Common	480
Non-Qualified Stock Option	\$ 30.31	05/29/2008	Х	0	05/29/2009	05/28/2018	Common	1,284
Non-Qualified Stock Option	\$ 30.31	05/29/2008	Х	0	05/29/2010	05/28/2018	Common	1,284
Non-Qualified Stock Option	\$ 30.31	05/29/2008	Х	0	05/29/2011	05/28/2018	Common	1,284
Non-Qualified Stock Option	\$ 30.31	05/29/2008	Х	0	05/29/2012	05/28/2018	Common	1,284
Non-Qualified Stock Option	\$ 30.31	05/29/2008	Х	0	05/29/2013	05/28/2018	Common	1,284

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
Bartlett David L SIMMONS FIRST NATIONAL CORP 501 MAIN STREET PINE BLUFF, AR 71603	Х		President and CBO			
Signatures						
/s/ David L. Bartlett by Piper P. Erwin	02/24/20	14				
**Signature of Reporting Person	Date					

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares will vest evenly over the next five years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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