Edgar Filing: SIMMONS FIRST NATIONAL CORP - Form 3

SIMMONS FIRST NATIONAL CORP

Form 3

January 03, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

Expires:

response...

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

January 31, 2005

0.5

Estimated average burden hours per

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Kanneman Paul D

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

01/03/2017

CIMMONIC FIRST NATIONAL COL

SIMMONS FIRST NATIONAL CORP [SFNC]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(give title below) (specify below)

EVP

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O SIMMONS FIRST NATIONAL CORP., 501 MAIN STREET

(Ctuo

(Street)

____ Director ____ _X__ Officer ____

____ 10% Owner ____ Other

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting

Person
Form filed by More than One

Reporting Person

PINE BLUFF. ARÂ 71601

(City) (State) (Zip)

1.Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership Ownership Form: (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. 5. Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 4)

Date Expiration Title Exercisable Date

Amount or Number of Price of Derivative
Derivative Security:
Security Direct (D)

(Instr. 5)

1

Shares

or Indirect
(I)
(Instr. 5)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kanneman Paul D

C/O SIMMONS FIRST NATIONAL CORP.

À Â Â EVP Â

501 MAIN STREET PINE BLUFF, ARÂ 71601

Signatures

/s/ Paul D. Kanneman by Piper P. Erwin

01/03/2017

**Signature of Reporting Person

Date

Explanation of Responses:

No securities are beneficially owned

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).