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SIMMONS FIRST NATIONAL CORP

Form 3

March 03, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

McCaleb Johnny W

C/O SIMMONS FIRST

MAIN STREET

NATIONAL CORP., Â 501

(Last)

(First)

(Street)

(Middle)

Statement

(Month/Day/Year)

02/23/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

SIMMONS FIRST NATIONAL CORP [SFNC]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director

10% Owner

_X__ Officer Other (give title below) (specify below) **EVP & Audit Executive**

3.

6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

PINE BLUFF. ARÂ 71601

(City) (State) (Zip)

1. Title of Security (Instr. 4)

SFNC

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

221

Beneficially Owned

(Instr. 4)

Form: Direct (D)

Ownership Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

Â D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Derivative Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Unit	(2)	(2)	Common	8,000	\$ <u>(1)</u>	D	Â
Restricted Stock Unit	(3)	(3)	Common	734	\$ <u>(1)</u>	D	Â
Restricted Stock Unit	(4)	(4)	Common	1,498	\$ <u>(1)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
and the state of t	Director	10% Owner	Officer	Other		
McCaleb Johnny W C/O SIMMONS FIRST NATIONAL CORP. 501 MAIN STREET PINE BLUFF. AR 71601	Â	Â	EVP & Audit Executive	Â		

Signatures

/s/ Johnny W. McCaleb by Piper P. Erwin

03/03/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of Simmons First National Corporation common stock.
- One third of the Restricted Stock Unit vests on June 20, 2017, 2018 and 2019. SFNC shares will be delivered within 30 days of vesting. Events such as retirement, death, disability and other specified events in the agreement may result in earlier vesting.
- One half of the Restricted Stock Unit vests on January 19, 2018 and 2019. SFNC shares will be delivered within 30 days of vesting. Events such as retirement, death, disability and other specified events in the agreement may result in earlier vesting.
- One third of the Restricted Stock Unit vests on January 17, 2018, 2019 and 2020. SFNC shares will be delivered within 30 days of vesting. Events such as retirement, death, disability and other specified events in the agreement may result in earlier vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2