#### **BAILEY ANTHONY W**

Form 4

November 12, 2004

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

Check this box if no longer

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

3235-0287 Number: January 31, Expires:

**OMB APPROVAL** 

subject to Section 16. Form 4 or

2005 Estimated average burden hours per 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

**BAILEY ANTHONY W** 

Symbol ABIOMED INC [ABMD]

11/10/2004

(Check all applicable)

(Last)

(First)

(Middle)

(7:m)

3. Date of Earliest Transaction

Director

(Month/Day/Year)

10% Owner Other (specify X\_ Officer (give title

C/O ABIOMED, INC., 22 CHERRY

(Street)

(State)

HILL DRIVE

(C:tr.)

below) VP, Manufacturing

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

DANVERS, MA 01923

| (City)                               | (State)                              | Table Table   | e I - Non-D                             | erivative                              | Secur                        | ities Acqu  | uired, Disposed of   | f, or Beneficial   | y Owned   |
|--------------------------------------|--------------------------------------|---|---|--|------------------------------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or Do<br>(Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock, \$.01<br>par value  | 11/10/2004                           |   | M                                       | 5,000<br>(10)                          | A                            | \$<br>6.813 | 5,353  | D  |   |
| Common<br>Stock, \$.01<br>par value  | 11/10/2004                           |   | S                                       | 5,000<br>(10)                          | D                            | \$ 15.5     | 353  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | Second Control Second (A)  Disp (D) | urities<br>uired<br>or<br>posed of<br>tr. 3, 4, | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|---|---|--|-------------------------------------|---|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A)                                 | (D)   | Date Exercisable   | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Stock Option (Right to buy) (2)                     | \$ 6.813  | 11/10/2004                              |   | M                                      |                                     | 5,000<br>(10)                                   | 07/01/2000(1)  | 07/01/2008         | Common<br>Stock   | 5,000                               |
| Stock Option (right to buy) (3)                     | \$ 15.563   |   |   |  |                                     |   | 06/28/2002(5)  | 06/28/2010         | Common<br>Stock   | 40,000                              |
| Stock<br>Option<br>(right to<br>buy) (4)            | \$ 18.4   |   |   |  |                                     |   | 08/08/2003(6)  | 08/08/2011         | Common<br>Stock   | 5,000                               |
| Stock<br>Option<br>(right to<br>buy) (3)            | \$ 5.11   |   |   |  |                                     |   | 06/25/2005(8)  | 06/25/2013         | Common<br>Stock   | 10,000                              |
| Stock<br>Option<br>(right to<br>buy) (3)            | \$ 6.66   |   |   |  |                                     |   | 09/10/2005(9)  | 09/10/2013         | Common<br>Stock   | 40,000                              |
| Stock<br>Option<br>(right to<br>buy) (4)            | \$ 6.75   |   |   |  |                                     |   | 06/25/2004(7)  | 06/25/2012         | Common<br>Stock   | 17,500                              |

## **Reporting Owners**

| Reporting Owner Name / Address        | Relationships |           |                   |       |  |  |  |  |
|---------------------------------------|---------------|-----------|-------------------|-------|--|--|--|--|
|                                       | Director      | 10% Owner | Officer           | Other |  |  |  |  |
| BAILEY ANTHONY W<br>C/O ABIOMED, INC. |               |           | VP, Manufacturing |       |  |  |  |  |
| 22 CHERRY HILL DRIVE                  |               |           |                   |       |  |  |  |  |

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DANVERS, MA 01923

## **Signatures**

/s/ Charles B. Haaser (Attorney-in-fact)

11/11/2004

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Original option grant becomes exercisable in annual 25% installments commencing on the date shown.
- (2) Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 1992 Combination Stock Option Plan.
- (3) Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 1998 Equity Incentive Plan.
- (4) Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2000 Stock Incentive Plan.
- (5) Thirty percent of this option will become exercisable on each of 6/28/02 and 6/28/03 and an additional 40 percent will become exercisable on 6/28/04.
- Thirty percent of this option will become exercisable on each of 8/8/03 and 8/8/04 and an additional 40 percent will become exercisable on 8/8/05.
- (7) Thirty percent of this option will become exercisable on each of 6/25/04 and 6/25/05 and an additional 40 percent will become exercisable on 6/25/06.
- (8) Thirty percent of this option will become exercisable on each of 6/25/05 and 6/25/06 and an additional 40 percent will become exercisable on 6/25/07.
- (9) Thirty percent of this option will become exercisable on each of 9/10/05 and 9/10/06 and an additional 40 percent will become exercisable on 9/10/07.
- (10) Stock was acquired and sold pursuant to a Sales Plan compliant with the requirements of SEC Rule 10b5-1.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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