Edgar Filing: Onconova Therapeutics, Inc. - Form 4

Onconova 7 Form 4 August 01,	ЛЛ	статес	SECU	DITIES	• 1	ND FYCH		TE CO	OMMISSION	-	PROVAL		
-	UNITED	SIAIES				ND EACH D.C. 20549		JE CC	JMINII55ION	OMB Number:	3235-0287		
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.2005Form 4 or Form 5 obligations may continue.StateMent OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESEstimated average burden hours per responseFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b).0.5													
(Print or Type	Responses)												
Michael 9 Jane Hoffman 2012				er Name ar	ıd '	Ticker or Tra	ding		5. Relationship of Reporting Person(s) to Issuer				
Descendants O			Symbol Onconc [ONTX		ap	eutics, Inc.			(Check	(Check all applicable)			
			Date of Earliest Transaction				-	Director 10% Owner Officer (give title Other (specify					
712 FIFTH FLOOR	I AVENUE, 36TH	I	07/30/2	-				t	pelow)	below)			
				(Month/Day/Year)				A	5. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
NEW YOR	RK, NY 10019							Ē	Form filed by Mo Person	ore than One Rej	porting		
(City)	(State)	(Zip)	Tab	le I - Non-	De	erivative Sec	uritie	s Acqui	ired, Disposed of,	or Beneficiall	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transacti Code (Instr. 8)	ion	4. Securities A pr Disposed o (Instr. 3, 4 and	(A) or	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common				Code V		Amount	(D)	Price		_			
Stock	07/30/2013			С		83,857	A	<u>(1)</u>	728,517	D			
Common Stock	07/30/2013			С		105,363	А	<u>(2)</u>	833,880	D			
Common Stock	07/30/2013			С		266,712	А	<u>(3)</u>	1,100,592	D			
Common Stock	07/30/2013			С		476,087	А	<u>(4)</u>	1,576,679	D			
Common Stock	07/30/2013			С	:	85,744	А	<u>(5)</u>	1,662,423	D			

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Common Stock	07/30/2013	С	172,411	А	<u>(6)</u>	1,834,834	D
Common Stock	07/30/2013	С	226,024	А	<u>(7)</u>	2,060,858	D
Common Stock	07/30/2013	С	1,226,942	А	<u>(8)</u>	3,287,800	D
Common Stock	07/30/2013	Р	1,000,000	А	\$ 15	4,287,800	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun Number Shares
Series B Convertible Preferred Stock	<u>(1)</u>	07/30/2013		С	99,350	<u>(1)</u>	<u>(1)</u>	Common Stock	83,8
Series C Convertible Preferred Stock	(2)	07/30/2013		С	140,449	(2)	(2)	Common Stock	105,3
Series D Convertible Preferred Stock	<u>(3)</u>	07/30/2013		С	355,528	(3)	(3)	Common Stock	266,1
Series E Covertible Preferred Stock	<u>(4)</u>	07/30/2013		C	634,625	<u>(4)</u>	<u>(4)</u>	Common Stock	476,0
Series F Convertible Preferred Stock	<u>(5)</u>	07/30/2013		С	111,227	(5)	(5)	Common Stock	85,7

Series G Convertible Preferred Stock	<u>(6)</u>	07/30/2013	С	229,825	(6)	<u>(6)</u>	Common Stock	172,4
Series H COnvertible Preferred Stock	<u>(7)</u>	07/30/2013	С	301,290	(7)	(7)	Common Stock	226,0
Series I Convertible Preferred Stock	<u>(8)</u>	07/30/2013	С	1,635,514	(8)	<u>(8)</u>	Common Stock	1,226

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Direct	or	10% Owner	Officer	Other			
Michael & Jane Hoffman 2013 Descenda 712 FIFTH AVENUE, 36TH FLOOR NEW YORK, NY 10019	ints		Х					
Signatures								
/s/ Ajay Bansal, as attorney in fact	07/30/2013							

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Series B Convertible Preferred Stock converted into Common Stock on a 0.85-for-1 basis and had no expiration date.
- (2) The Series C Convertible Preferred Stock converted into Common Stock on a 0.75-for-1 basis and had no expiration date.
- (3) The Series D Convertible Preferred Stock converted into Common Stock on a 0.75-for-1 basis and had no expiration date.
- (4) The Series E Convertible Preferred Stock converted into Common Stock on a 0.75-for-1 basis and had no expiration date.
- (5) The Series F Convertible Preferred Stock converted into Common Stock on a 0.77-for-1 basis and had no expiration date.
- (6) The Series G Convertible Preferred Stock converted into Common Stock on a 0.75-for-1 basis and had no expiration date.
- (7) The Series H Convertible Preferred Stock converted into Common Stock on a 0.75-for-1 basis and had no expiration date.
- (8) The Series I Convertible Preferred Stock converted into Common Stock on a 0.75-for-1 basis and had no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.