PTC THERAPEUTICS, INC.

Form 4 March 23, 2015

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

3235-0287 Number:

January 31, 2005

0.5

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**SECURITIES** obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(City)

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading SOUTHWELL DAVID P Issuer Symbol PTC THERAPEUTICS, INC. (Check all applicable) [PTCT] 3. Date of Earliest Transaction (Last) (First) (Middle) X\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) C/O PTC THERAPEUTICS. 03/23/2015 INC., 100 CORPORATE COURT (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SOUTH PLAINFIELD, NJ 07080 Person

(State)

(Zip)

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership (Instr. 4) (Instr. 4) Following Reported (A) Transaction(s) (Instr. 3 and 4) (D) Price Code V Amount \$ Common  $S^{(1)}$ 03/23/2015 2,200 D 68.99 8.721 D Stock (2) \$ Common  $S^{(1)}$ 69.91 D 03/23/2015 117 D 8,604

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(3)

### Edgar Filing: PTC THERAPEUTICS, INC. - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Tit | le of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.          | 6. Date Exerc | cisable and | 7. Title     | e and    | 8. Price of | 9. Nu  |
|--------|-------|-------------|---------------------|--------------------|------------|-------------|---------------|-------------|--------------|----------|-------------|--------|
| Deriv  | ative | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber    | Expiration D  | ate         | Amou         | nt of    | Derivative  | Deriv  |
| Secur  | ity   | or Exercise |                     | any                | Code       | of          | (Month/Day/   | Year)       | Under        | lying    | Security    | Secui  |
| (Instr | . 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative  | e             |             | Securi       | ties     | (Instr. 5)  | Bene   |
|        |       | Derivative  |                     |                    |            | Securities  |               |             | (Instr.      | 3 and 4) |             | Owne   |
|        |       | Security    |                     |                    |            | Acquired    |               |             |              |          |             | Follo  |
|        |       | •           |                     |                    |            | (A) or      |               |             |              |          |             | Repo   |
|        |       |             |                     |                    |            | Disposed    |               |             |              |          |             | Trans  |
|        |       |             |                     |                    |            | of (D)      |               |             |              |          |             | (Instr |
|        |       |             |                     |                    |            | (Instr. 3,  |               |             |              |          |             |        |
|        |       |             |                     |                    |            | 4, and 5)   |               |             |              |          |             |        |
|        |       |             |                     |                    |            |             |               |             |              | Amount   |             |        |
|        |       |             |                     |                    |            |             |               |             |              | Amount   |             |        |
|        |       |             |                     |                    |            |             | Date          | Expiration  |              | Or       |             |        |
|        |       |             |                     |                    |            | Exercisable | cisable Date  |             | Number<br>of |          |             |        |
|        |       |             |                     |                    | C-J- V     | (A) (D)     |               |             |              |          |             |        |
|        |       |             |                     |                    | Code V     | (A) (D)     |               |             |              | Shares   |             |        |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |  |
|--|---------------|-----------|---------|-------|--|--|--|
| Troporting of their France, Frances  | Director      | 10% Owner | Officer | Other |  |  |  |
| SOUTHWELL DAVID P<br>C/O PTC THERAPEUTICS, INC.<br>100 CORPORATE COURT<br>SOUTH PLAINFIELD, NJ 07080 | X             |           |         |       |  |  |  |

## **Signatures**

/s/ Colleen Diver Johnson, Attorney-in-Fact

03/23/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale transactions reported in this Form 4 were effected pursuant to a written Rule 10b5-1 plan adopted by the reporting person on August 14, 2014 for the primary purposes of covering tax obligations associated with a restricted stock vesting event and diversification.
- This price represents the weighted average price of sale transactions that were executed in multiple trades at prices ranging from \$68.57 (2) to \$69.51 per share. The reporting person hereby undertakes, upon request by the SEC staff, the issuer or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.
- This price represents the weighted average price of sale transactions that were executed in multiple trades at prices ranging from \$69.90 (3) to \$69.91 per share. The reporting person hereby undertakes, upon request by the SEC staff, the issuer or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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