Edgar Filing: Davis R Eberley - Form 4

| Davis R Eberle Form 4 | ey | | | | | | | | | | |
|------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|---------------------------------------------------|--------------------------|--------------------------------------|---------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|--|
| May 17, 2018 | | | | | | | | | | | |
| • | Л | | | | | | | | OMB A | PPROVAL | |
| FORM | UNITE |) STATES | | ITIES AN hington, I | | | NGE (| COMMISSION | OMB Number: | 3235-0287 | |
| Check this I if no longer subject to Section 16. Form 4 or Form 5 obligations may continu <i>See</i> Instruct 1(b). | Filed pr ie. Section 17 | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940 | | | | | | | | January 31, 2005 average irs per 0.5 | |
| (Print or Type Res | sponses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Davis R Eberley | | | 2. Issuer Name and Ticker or Trading Symbol ALLIANCE RESOURCE PARTNERS LP [ARLP] | | | | g | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) (First) (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) 05/15/2018 | | | | | Director 10% Owner X Officer (give title Other (specify below) SVP-Law&Admin,General Counsel | | | |
| | (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) | | | e Original | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| TULSA, OK 7 | 74119 | | | | | | | Form filed by N Person | More than One Re | eporting | |
| (City) | (State) | (Zip) | Table | e I - Non-De | rivative S | ecurit | ties Ac | quired, Disposed of | f, or Beneficial | lly Owned | |
| | 2. Transaction D (Month/Day/Yea | ar) Executio any | on Date, if | 3. Transaction Code (Instr. 8) Code V | Disposed (Instr. 3, 4 | (A) o of (D 4 and (A) or |) | Securities Beneficially | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common unit | | | | | | . , | | 79,407 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactiv Code (Instr. 8) | 5. Number orof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price o Derivativ Security (Instr. 5) |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|----------------------------------------|---------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|---------------------------------------------------------------------|----------------------------------------|---------------------------------------------------|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Phantom unit | <u>(1)</u> | 05/15/2018 | А | 791 | (2) | (3) | Common unit | 791 | <u>(3)</u> |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|-----------------------------------------------------------------------|---------------|-----------|-------------------------------|-------|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | |
| Davis R Eberley 1717 SOUTH BOULDER SUITE 400 TULSA, OK 74119 | | | SVP-Law&Admin,General Counsel | | | | |
| Signatures | | | | | | | |

| /s/ R. Eberley Davis by Kenneth Hemm, pursuant to power of attorney dated April 9, | |
|------------------------------------------------------------------------------------|------------|
| 2013 | 05/17/2018 |
| <u>**</u> Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1 for 1
- (2) The Phantom units are to be settled in ARLP common units upon the reporting person's death or termination.
- (3) Not applicable

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.