

Birkans Aldis
 Form 3
 August 14, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
 Expires: January 31, 2015
 Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Birkans Aldis | | (Month/Day/Year) | National Bank Holdings Corp [NBHC] | |
| (Last) | (First) | (Middle) | 08/10/2018 | |
| 7800 EAST ORCHARD ROAD, SUITE 300 | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| GREENWOOD VILLAGE, CO 80111 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | Chief Financial Officer | <input type="checkbox"/> Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 5,419 ⁽¹⁾ | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
|--|--|---|--|--|---|

Edgar Filing: Birkans Aldis - Form 3

| | Date Exercisable | Expiration Date | Amount or Number of Shares | or Indirect (I) (Instr. 5) |
|--------------------------------------|------------------|-----------------|-----------------------------|----------------------------|
| Employee Stock Option (Right to Buy) | Â (2) | 08/02/2021 | Common Stock 20,000 \$ 20 | D Â |
| Employee Stock Option (Right to Buy) | Â (3) | 05/02/2023 | Common Stock 3,530 \$ 18.09 | D Â |
| Employee Stock Option (Right to Buy) | Â (4) | 04/29/2024 | Common Stock 2,600 \$ 18.92 | D Â |
| Employee Stock Option (Right to Buy) | Â (5) | 04/28/2025 | Common Stock 2,773 \$ 19.08 | D Â |
| Employee Stock Option (Right to Buy) | Â (6) | 03/01/2026 | Common Stock 3,080 \$ 19.56 | D Â |
| Employee Stock Option (Right to Buy) | Â (7) | 03/01/2027 | Common Stock 3,303 \$ 34.04 | D Â |
| Employee Stock Option (Right to Buy) | Â (8) | 03/01/2028 | Common Stock 2,442 \$ 32.65 | D Â |
| Employee Stock Option (Right to Buy) | Â (9) | 05/02/2028 | Common Stock 1,987 \$ 35.36 | D Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Birkans Aldis 7800 EAST ORCHARD ROAD SUITE 300 GREENWOOD VILLAGE,Â COÂ 80111 | Â | Â | Â Chief Financial Officer | Â |

Signatures

/s/ Aldis Birkans 08/14/2018

__Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Total includes 3,909 shares of unvested restricted stock granted under the NBHC 2014 Omnibus Incentive Plan. Vesting of said shares is contingent upon continued employment and is scheduled as follows: (i) 1,650 will vest on April 28, 2019; (ii) 1,320 will vest on April 28, 2020; and (iii) 939 will vest on April 28, 2021.

(2) Granted under the NBHC 2009 Equity Incentive Plan. Stock option award is subject to continued employment and is time vesting. The first installment vested on August 2, 2013, and the second installment vested on August 2, 2014.

(3) Granted under the NBHC 2009 Equity Incentive Plan. Stock option award is subject to continued employment and is time vesting. The first installment vested on May 2, 2016, and the second installment vested on May 2, 2017.

(4)

Edgar Filing: Birkans Aldis - Form 3

Granted under the NBHC 2009 Equity Incentive Plan. Stock option award is subject to continued employment and is time vesting. The first installment vested on April 29, 2015, the second installment vested on April 29, 2016, and the third installment vested on April 29, 2017.

- (5) Granted under the NBHC 2014 Omnibus Incentive Plan. Stock option award is subject to continued employment and is time vesting. The first installment vested on April 28, 2016, the second installment vested on April 28, 2017, and the third installment vested on April 28, 2018.

- (6) Granted under the NBHC 2014 Omnibus Incentive Plan. Stock option award is subject to continued employment and is time vesting. The first installment vested on April 28, 2017, the second installment vested on April 28, 2018, and the third installment vests on April 28, 2019.

- (7) Granted under the NBHC 2014 Omnibus Incentive Plan. Stock option award is subject to continued employment and is time vesting. The first installment vested on April 28, 2018, the second installment vests on April 28, 2019, and the third installment vests on April 28, 2020.

- (8) Granted under the NBHC 2014 Omnibus Incentive Plan. Stock option award is subject to continued employment and is time vesting. The first installment vests on April 28, 2019, the second installment vests on April 28, 2020, and the third installment vests on April 28, 2021.

- (9) Granted under the NBHC 2014 Omnibus Incentive Plan. Stock option award is subject to continued employment and is time vesting. The first installment vests on April 28, 2019, the second installment vests on April 28, 2020, and the third installment vests on April 28, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.