

REGIS CORP
Form 4
February 01, 2007

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DUKE RAYMOND

(Last) (First) (Middle)

7201 METRO BLVD

(Street)

MINNEAPOLIS, MN 55439

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
REGIS CORP [RGS]

3. Date of Earliest Transaction
(Month/Day/Year)
01/31/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Sr VP, Intl Managing Director

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount (A) or (D) Price | | |
| Common Stock | 01/31/2007 | | M | | 900 A \$ 15.5 | 7,474 | D |
| Common Stock | 01/31/2007 | | S | | 900 D \$ 41.68 | 6,574 | D |
| Common Stock | 01/31/2007 | | M | | 6,060 A \$ 16.5 | 12,634 | D |
| Common Stock | 01/31/2007 | | S | | 6,060 D \$ 41.8 | 6,574 | D |
| Common Stock | 01/31/2007 | | M | | 28,940 A \$ 16.5 | 35,514 | D |

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| | | | | | | | |
|--------------|------------|---|--------|---|-----------|--------|---|
| Common Stock | 01/31/2007 | S | 28,940 | D | \$ 41.81 | 6,574 | D |
| Common Stock | 01/31/2007 | M | 5,115 | A | \$ 15.125 | 11,689 | D |
| Common Stock | 01/31/2007 | S | 5,115 | D | \$ 41.86 | 6,574 | D |
| Common Stock | 01/31/2007 | M | 29,885 | A | \$ 15.125 | 36,459 | D |
| Common Stock | 01/31/2007 | S | 29,885 | D | \$ 41.96 | 6,574 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (Right to Buy) | \$ 15.5 | 01/31/2007 | | M | 900 | 06/24/2002 06/24/2007 | Common Stock | 900 | |
| Employee Stock Option (Right to Buy) | \$ 16.5 | 01/31/2007 | | M | 6,060 | 02/15/2005 02/15/2010 | Common Stock | 6,060 | |
| Employee Stock Option (Right to Buy) | \$ 16.5 | 01/31/2007 | | M | 28,940 | 02/15/2005 02/15/2010 | Common Stock | 28,940 | |
| | \$ 15.125 | 01/31/2007 | | M | 5,115 | 10/31/2005 10/31/2010 | | 5,115 | |

Employee
Stock
Option
(Right to
Buy)

Common
Stock

Employee
Stock
Option
(Right to
Buy)

\$ 15.125

01/31/2007

M

29,885

10/31/2005

10/31/2010

Common
Stock

29,885

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| DUKE RAYMOND 7201 METRO BLVD MINNEAPOLIS, MN 55439 | | | Sr VP, Intl Managing Director | |

Signatures

Bert M. Gross, by power of
attorney

02/01/2007

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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