Romanoski Vicky Form 4 June 09, 2006

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Last)

Form 5

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person \* Romanoski Vicky

(First) (Middle)

C/O ALPHATEC HOLDINGS. INC., 2051 PALOMAR AIRPORT **ROAD** 

CARLSBAD, CA 92011

(Street)

2. Issuer Name and Ticker or Trading Symbol

Alphatec Holdings, Inc. [ATEC]

3. Date of Earliest Transaction (Month/Day/Year)

06/07/2006

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify

below)

Chief Admin Ofcr, VP & Scrty

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(City)	(State) (Zip	Table I	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
			Code V	Amount	(A) or (D) P	Price	Transaction(s) (Instr. 3 and 4)	(IIISU: 4)				
Common Stock	06/07/2006		С	89,250	Α (4	<u>(4)</u>	89,250 (2)	D				
Common Stock (3)	06/07/2006		J	1,558	Α Ω	<u>(3)</u>	90,808 (2)	D				
New Redeemable Preferred Stcok (3)	06/07/2006		J	1,342	A G	<u>(3)</u>	1,342	D				
Common Stock	06/07/2006		P	1,333	A \$	\$ 9	92,141	D				

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Common Stock	06/07/2006	P	444	A	\$9	92,585	D
Common Stock	06/07/2006	P	444	A	\$9	93,029	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control

(9-02)

8. P Der Sec (Ins

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		rivative Expiration Date curities (Month/Day/Year) quired (A) Disposed of ) str. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A-1 Common	<u>(1)</u>	06/07/2006		C		25,000	<u>(1)</u>	<u>(1)</u>	Common Stock	89,250

### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Romanoski Vicky C/O ALPHATEC HOLDINGS, INC. 2051 PALOMAR AIRPORT ROAD			Chief Admin Ofcr,					
CARLSBAD CA 92011			VP & Scrty					

Date

### **Signatures**

\*\*Signature of Reporting Person

/s/ Daren Graham, 06/09/2006 Attorney-in-fact

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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- (1) There is no expiration date. Each share of Series A-1 Common Stock converted into common stock on a 3.57-for-1 basis effective upon the closing of the Issuer's initial public offering.
  - The Issuer has certain repurchase rights with respect to certain of such shares. The Issuer's repurchase rights with respect to 53,550 of such shares lapse annually in equal installments, beginning on June 8, 2006 and ending on June 8, 2010. The Issuer's repurchase rights
- (2) with respect to an additional 35,700 of such shares lapse annually in equal installments, beginning on August 12, 2006 and ending on August 12, 2010. Any unvested shares of restricted stock shall become vested immediately upon a sale of the Issuer or substantially all of the Issuer's assets.
- (3) In payment of dividends accrued on the Series A-1 Common Stock, the Reporting Person received 1,558 shares of Common Stock, 1,342 shares of New Redeemable Preferred Stock and cash.
- (4) Each share of Series A-1 Common Stock converted into common stock on a 3.57-for-1 basis effective upon the closing of the Issuer's initial public offering.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.