

THOMAS DAVID M
Form 4
October 18, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
THOMAS DAVID M

2. Issuer Name **and** Ticker or Trading
Symbol
Fortune Brands Home & Security,
Inc. [FBHS]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
520 LAKE COOK ROAD
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
10/14/2011

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

DEERFIELD, IL 60015

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)			
				Code V Amount	Price		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Derivative Securities
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)							
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	(In
Stock Options (Right to Buy)	\$ 11.2019	10/14/2011	A		2,490 <u>(1)</u>		<u>(2)</u>	04/30/2012	Common Stock	2,490	
Stock Options (Right to Buy)	\$ 10.3345	10/14/2011	A		2,490 <u>(1)</u>		<u>(2)</u>	04/29/2013	Common Stock	2,490	
Stock Options (Right to Buy)	\$ 16.5803	10/14/2011	A		2,490 <u>(1)</u>		<u>(2)</u>	04/27/2014	Common Stock	2,490	
Stock Options (Right to Buy)	\$ 18.3374	10/14/2011	A		2,490 <u>(1)</u>		<u>(2)</u>	04/26/2015	Common Stock	2,490	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
THOMAS DAVID M 520 LAKE COOK ROAD DEERFIELD, IL 60015	X			

Signatures

/s/ Angela M. Pla, Attorney-in-Fact for David M.
Thomas

10/18/2011

Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents options to purchase Fortune Brands Home & Security, Inc. ("FBHS") stock acquired by the reporting person as a result of the adjustment of options to purchase shares of Beam Inc. (f/k/a Fortune Brands, Inc.) common stock held by the reporting person prior to the spin-off of FBHS. This adjustment occurred pursuant to the terms of the Employee Matters Agreement dated September 28, 2011 between FBHS and Fortune Brands, Inc.

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(2) The option is presently exercisable in full.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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