Edgar Filing: Smith William Maxwell - Form 4

Smith Willi	am Maxwell									
Form 4										
March 05, 2	2013									
FORM	ЛΔ							OMB AF	PROVAL	
	UNITED	STATES			AND EXC , D.C. 205		OMMISSION	OMB Number:	3235-0287	
Check t				U				Expires:	January 31,	
subject to STATEMENT OF CHANGES IN BENEFICIAL O						CIAL OWN	NERSHIP OF	•	2005	
Section	16.	SECURITIES						Estimated a burden hour	's per	
Form 4 Form 5			G	1(1) = f(1)	G		A £ 1024	response	0.5	
obligati	^					U	e Act of 1934,			
may cor	ntinue. Section 17	· · /		•	U 1		1935 or Section	L		
See Inst	ruction	30(n)	of the fi	nvestmen	t Company	Act of 194	0			
1(b).										
(Print or Type	Responses)									
、 •••										
1. Name and Address of Reporting Person <u>*</u> Smith William Maxwell			2. Issuer Name and Ticker or Trading		5. Relationship of Reporting Person(s) to					
			Symbol			U	Issuer			
			•	IGM CO	RP [FLDM	[]				
(Last)	(First)	(Middle)		of Earliest T	-	-	(Check all applicable)			
(East)	(1 1131)	(madic)		Day/Year)	Talisaction		Director	10%	Owner	
FLUIDIGM CORPORATION, 7000			03/01/2013				X Officer (give title Other (specify			
	NE COURT, SUI		00/01/1	-010			below)	below) e Remarks		
	(Street)		4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
SOUTH SA	ANT						Form filed by M			
	CO, CA 94080						Person		. U	
	CO, CA 94080									
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative So	ecurities Acqu	uired, Disposed of,	or Beneficial	y Owned	
1.Title of	2. Transaction Date	e 2A. Deen	ned	3.	4. Securities	Acquired (A)	5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)	Execution	Date, if	Transactio	or Disposed	of (D)	Securities	Ownership	Indirect	
(Instr. 3)		any		Code	(Instr. 3, 4 a	nd 5)	Beneficially	Form:	Beneficial	
		(Month/D	ay/rear)	(Instr. 8)			Owned Following	Direct (D) or Indirect	Ownership (Instr. 4)	
							Reported	(I)	(111501. 7)	
					((A)	Transaction(s)	(Instr. 4)		

		Code V	Amount	or (D)	Price	(Instr. 3 and 4)	X
Common Stock	03/01/2013	М	10,000	А	\$ 4.4461	10,000	D
Common Stock	03/01/2013	S <u>(1)</u>	10,000 (2)	D	\$ 17.3598 (2)	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 4.4461	03/01/2013		М	9,253	(3)	04/23/2018	Common Stock	9,253
Employee Stock Option (Right to Buy)	\$ 4.4461	03/01/2013		М	747	<u>(4)</u>	04/23/2018	Common Stock	747

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Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Othe		
Smith William Maxwell FLUIDIGM CORPORATION 7000 SHORELINE COURT, SUITE 100 SOUTH SAN FRANCISCO, CA 94080			See Remarks			

Signatures

/s/ Valerie Barnett,	
attorney-in-fact	03/05/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported by Mr. Smith were effected pursuant to a Rule 10b5-1 trading plan adopted on November 28, 2012.

The "Amount" and "Price" reported in this Column 4 reflect the aggregate number and weighted-average price, respectively, of shares sold. These shares were sold in multiple transactions at prices ranging from \$17.14 to \$17.4553, inclusive. The reporting person

(2) sold. These shares were sold in multiple transactions at prices ranging from \$17,14 to \$17,4555, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein.

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- (3) The shares subject to the Option fully vested on March 31, 2012.
- (4) The shares subject to the Option fully vested on May 1, 2012

Remarks:

EXECUTIVE VP, LEGAL AFFAIRS & GENERAL COUNSEL

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.