Alphatec Holdings, Inc.

Form 4/A June 12, 2013

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Ryan Patrick

> (First) (Middle)

C/O ALPHATEC SPINE, INC., 5818 EL CAMINO REAL

(Street)

2. Issuer Name and Ticker or Trading Symbol

Alphatec Holdings, Inc. [ATEC]

3. Date of Earliest Transaction (Month/Day/Year) 06/10/2013

4. If Amendment, Date Original

Filed(Month/Day/Year) 12/31/2012

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

COO and President, Int'l.

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

CARLSBAD, CA, CA 92008

Execution Date, if (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

(A)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (T) (Instr. 4) (Instr. 4)

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of TransactionDerivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount Underlying Securities (Instr. 3 and 4)

## Edgar Filing: Alphatec Holdings, Inc. - Form 4/A

(Instr. 3) (Month/Day/Year) (Instr. 8) Acquired (A) or Price of Derivative Disposed of (D) Security (Instr. 3, 4, and 5)

> Code V (D) Date Exercisable Expiration Title (A)

Date

Amou Numb

Shares

200,

NO

12/19/2013(3) 12/19/2019

Common Stock

Stock \$ 2.05 12/19/2012 Option

200,000 A (1)(2)

**Reporting Owners** 

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Ryan Patrick

C/O ALPHATEC SPINE, INC. 5818 EL CAMINO REAL CARLSBAD, CA, CA 92008

COO and President, Int'l.

**Signatures** 

/s/ Ebun S. Garner, Esq., Attorney-in-fact

06/12/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This option grant was made after the holder agreed to forfeit options granted to him on April 11, 2011. This transaction is described iun greater detail in a Schedule TO that the issuer filed with the SEC on December 19, 2012.
- (2) Represents the uncancelled portion of the 250,000 options that were granted to the holder on December 19, 2013.
- The options vest over three years with one third vesting on the anniversary of the date of the grant and the remaining two thirds vesting (3) each of the three months thereafter in eight tranches.
- (4) The exercise price per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2