

NEWTEK BUSINESS SERVICES, INC.

Form 3

June 20, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â EDELSON JENNIFER
CATHERINE

(Last) (First) (Middle)

60 HEMPSTEAD
AVENUE,Â FLOOR 6

(Street)

WEST
HEMPSTEAD,Â NYÂ 11552

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
07/01/2011

3. Issuer Name and Ticker or Trading Symbol

NEWTEK BUSINESS SERVICES, INC. [NEWT]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Principal Financial Officer

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Shares (vesting 07/2014)

50,000

D

Â

Common Shares (held in 401k)

3,025

D

Â

Common Shares

13,369

D

Â

Common Shares (vesting 03/2016)

10,000

D

Â

Common Shares held in 401k

578

I

held in spouse's 401k

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock Options	05/15/2010	05/15/2018	Qualified ISOs	20,000	\$ 1.5	D	Â
Stock Options	12/21/2005	12/21/2015	Qualified ISOs	10,000	\$ 1.57	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
EDDELSON JENNIFER CATHERINE 60 HEMPSTEAD AVENUE FLOOR 6 WEST HEMPSTEAD, NY 11552	Â	Â	Â Principal Financial Officer	Â

Signatures

Jennifer
Eddelson 06/17/2013

__Signature of
Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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