FLUIDIGM CORP

Form 4

November 19, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

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OMB APPROVAL

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Yow Mai Chan

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First) (Middle) FLUIDIGM CORP [FLDM] 3. Date of Earliest Transaction

(Check all applicable)

FLUIDIGM CORPORATION, 7000

(Month/Day/Year)

Director 10% Owner Other (specify X_ Officer (give title

SHORELINE COURT, SUITE 100

11/15/2013

below) below)

See Remarks

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

SOUTH SAN FRANCISCO, CA 94080

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secu	rities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	omr Dispos (Instr. 3,	(A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/15/2013		Code V M	Amount 37	(D)	Price \$ 4.0828	37	D	
Common Stock	11/15/2013		M	11,560	A	\$ 4.4461	11,597	D	
Common Stock	11/15/2013		M	536	A	\$ 4.4461	12,133	D	
Common Stock	11/15/2013		M	2,867	A	\$ 8.3732	15,000	D	
Common Stock	11/15/2013		S <u>(1)</u>	15,000 (2)	D	\$ 30.5117	0	D	

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(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 4.0828	11/15/2013		M		37	(3)	11/17/2019	Common Stock	37
Employee Stock Option (Right to Buy)	\$ 4.4461	11/15/2013		M		11,560	<u>(4)</u>	04/23/2018	Common Stock	11,560
Employee Stock Option (Right to Buy)	\$ 4.4461	11/15/2013		M		536	(5)	04/23/2018	Common Stock	536
Employee Stock Option (Right to Buy)	\$ 8.3732	11/15/2013		M		2,867	(6)	01/04/2021	Common Stock	2,867

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Othe			

Reporting Owners 2

Yow Mai Chan FLUIDIGM CORPORATION 7000 SHORELINE COURT, SUITE 100 SOUTH SAN FRANCISCO, CA 94080

See Remarks

Signatures

/s/ Valerie Barnett, attorney-in-fact

11/19/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported by Ms. Yow were effected pursuant to a Rule 10b5-1 trading plan adopted on September 12, 2013.
- The "Amount" and "Price" reported in this Column 4 reflect the aggregate number and weighted-average price, respectively, of shares sold. These shares were sold in multiple transactions at prices ranging from \$30.032 to \$30.81, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein.
- (3) The shares subject to the Option fully vested on April 1, 2013.
- (4) The shares subject to the Option fully vested on March 31, 2012.
- (5) The shares subject to the Option fully vested on March 22, 2010.
- (6) 70% of the shares subject to the Option vested on March 10, 2011, 25% of the remaining 30% of the shares subject to the Option vested on April 1, 2011 and 1/48th of the remaining unvested shares subject to the Option shall vest each month thereafter.

Remarks:

EXECUTIVE VP, WORLDWIDE MANUFACTURING & MANAGING DIRECTOR - SINGAPORE

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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