HAWAIIAN HOLDINGS INC

Form 4

November 21, 2013

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Number: 3235-0287 Expires: January 31,

2005

OMB APPROVAL

Section 16.
Form 4 or
Form 5

SECURITIES SECURITIES

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person <u>DUNKERLEY MARK B</u>

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

HAWAIIAN HOLDINGS INC [HA]

(Check all applicable)

(Last)

(City)

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 11/19/2013

_X__ Director _____ 10% Owner ____ X__ Officer (give title _____ Other (specify

President and CEO

C/O HAWAIIAN HOLDINGS, INC., 3375 KOAPAKA STREET, SUITE G-350

(State)

(Street) 4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting

Person

below)

HONOLULU, HI 96819

(City)	(State)	Table	e I - Non-D	erivative Se	curitio	es Acqu	iired, Disposed of	, or Beneficiall	y Owned
.Title of ecurity	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securitien(A) or Disp	•		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
Instr. 3)	(any	Code	(Instr. 3, 4 a		()	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					(A)		Reported		
					or		Transaction(s)		
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	11/19/2013		A	176,367 (1)	A	\$0	1,089,637	D	
Common Stock	11/19/2013		A	160,698 (2)	A	\$0	1,250,335	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amount	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								^	mount		
									mount		
						Date	Expiration	Title N	r Jumber		
						Exercisable	Date				
				C + V	(A) (D)			0			
				Code V	(A) (D)			S	hares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

DUNKERLEY MARK B C/O HAWAIIAN HOLDINGS, INC. 3375 KOAPAKA STREET, SUITE G-350 HONOLULU, HI 96819

X

President and CEO

Signatures

/s/ Mark B. 11/21/2013 Dunkerley

Date **Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each restricted stock unit ("RSU") represents a contingent right to receive one share of common stock. The RSUs were originally granted on November 15, 2012, but were not eligible to vest unless the company achieved pre-tax net profits of at least \$1,000,000 over any two consecutive fiscal quarters commencing after the grant date through the last full fiscal quarter ending prior to January 1, 2017 (the

- "Performance Metric"). Subject to the achievement of the Performance Metric, 2/3rds of the shares subject to the RSUs shall vest on November 15, 2014 and 1/3rd of the shares subject to the RSUs shall vest on November 15, 2015, subject to the reporting person's continued employment with the company through each such vesting date. On November 19, 2013, the compensation committee of the Board of Directors of the company certified that the Performance Metric was satisfied in the first two fiscal quarters of the company's 2013 fiscal year.
- Each restricted stock unit ("RSU") represents a contingent right to receive one share of common stock. The RSUs were originally granted on February 7, 2013, but were not eligible to vest unless the company achieved pre-tax net profits of at least \$1,000,000 over any two consecutive fiscal quarters commencing after the grant date through the last full fiscal quarter ending prior to January 1, 2017 (the "Performance Metric"). Subject to the achievement of the Performance Metric, 1/3rd of the shares subject to the RSUs shall vest on February 7, 2014 and February 7, 2015, and the remaining 1/3rd to vest on February 7, 2016, subject to the reporting person's continued employment with the company through each such vesting date. On November 19, 2013, the compensation committee of the Board of Directors of the company certified that the Performance Metric was satisfied in the first two fiscal quarters of the company's 2013 fiscal

Reporting Owners 2

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year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.