

American Water Works Company, Inc.

Form 4

March 12, 2015

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Rowe Nick Orlando2. Issuer Name **and** Ticker or Trading
Symbol
American Water Works Company,
Inc. [AWK]5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
1025 LAUREL OAK ROAD
(Street)3. Date of Earliest Transaction
(Month/Day/Year)
03/10/2015☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)
SVP, Central Division

VOORHEES, NJ 08043

4. If Amendment, Date Original
Filed(Month/Day/Year)6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	03/10/2015		M	V Amount (A) or (D) Price 4,583 A \$ 34.12	31,272 ⁽¹⁾	D	
Common Stock	03/10/2015		S	4,583 D \$ 52.23	26,689	D	
Common Stock	03/10/2015		M	2,415 A \$ 39.45	29,104	D	
Common Stock	03/10/2015		S	2,415 D \$ 52.23	26,689	D	
Common Stock	03/10/2015		S	6,071 D \$ 52.18	20,618	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee stock option (right to buy)	\$ 34.12	03/10/2015		M	4,583	<u>(2)</u> 12/31/2018	Common Stock	4,583
Employee stock option (right to buy)	\$ 39.45	03/10/2015		M	2,415	<u>(4)</u> 12/31/2019	Common Stock	2,415

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Rowe Nick Orlando 1025 LAUREL OAK ROAD VOORHEES, NJ 08043	SVP, Central Division

Signatures

/s/ Steven Robbins by Power of Attorney previous
filed

03/12/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 139 shares purchased under the American Water Works Company, Inc.'s Nonqualified Employee Stock Purchase Plan.
- (2) The option award granted on February 23, 2012, representing a right to purchase 13,748 shares, vested in three equal installments on January 1, 2013, 2014 and 2015.
- (3) 9,165 shares of this option award were previously exercised and reported.
- (4) The option award granted on February 21, 2013, representing a right to purchase 7,246 shares, vests in three equal installments on January 1, 2014, 2015 and 2016.
- (5) 2,415 shares of this option award were previously exercised and reported.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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