Sorrento Therapeutics, Inc. Form 8-K/A October 07, 2013

#### **UNITED STATES**

#### SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

#### FORM 8-K/A

(Amendment No. 2)

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): September 9, 2013

## SORRENTO THERAPEUTICS, INC.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

000-52228 (Commission 33-0344842 IRS Employer

of incorporation or organization)

File Number)

**Identification No.)** 

# Edgar Filing: Sorrento Therapeutics, Inc. - Form 8-K/A

## 6042 Cornerstone Ct. West, Suite B

#### San Diego, CA 92121

(Address of principal executive offices)

Registrant s telephone number, including area code: (858) 210-3700

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communication pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- "Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

## **Explanatory Note**

On September 11, 2013, Sorrento Therapeutics, Inc. ( Sorrento ) filed a Current Report on Form 8-K under Item 2.01 (the Initial Report ) to report the completion of its acquisition of IgDraSol, Inc. ( IgDraSol ) on September 9, 2013. On September 30, 2013 Sorrento filed the required financial information by amendment, as permitted by Item 9.01(a)(4) and 9.01(b)(2) to Form 8-K. The purpose of this Current Report on Amendment No. 2 to Form 8-K/A is to re-file the accountants consent to include incorporation by reference to certain registration statements.

#### Item 9.01 Financial Statements and Exhibits.

#### (d) Exhibits

Exhibit No.	Description
23.1	Consent of Kelly & Company.
99.1	Audited financial statements of IgDraSol, Inc. as of and for the period ending December 31, 2012.*
99.2	Unaudited financial statements of IgDraSol, Inc. for the six months ending June 30, 2013 and 2012 and the period from May 17, 2012 (inception) through June 30, 2013.*
99.3	Unaudited Pro Forma Condensed Consolidated Financial Information.*

<sup>\*</sup> Previously filed

## **Signatures**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: October 7, 2013

# SORRENTO THERAPEUTICS, INC.

By: /s/ Richard Vincent Richard Vincent

Chief Financial Officer and Secretary