CareDx, Inc. Form 8-K November 09, 2016

#### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-K

### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of

the Securities Exchange Act of 1934

Date of Report (date of earliest event reported): November 9, 2016

# CAREDX, INC.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

**001-36536** (Commission

94-3316839 (IRS Employer

of incorporation)

File No.) 3260 Bayshore Boulevard

**Identification Number)** 

### Edgar Filing: CareDx, Inc. - Form 8-K

### Brisbane, California 94005

(Address of principal executive offices)

(415) 287-2300

(Registrant s telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

### ITEM 2.02. Results of Operations and Financial Conditions

On November 9, 2016, CareDx, Inc. (the Company) issued a press release announcing its financial results for the quarter ended September 30, 2016. In the press release, the Company also announced that it would be holding a conference call on November 9, 2016 to discuss its financial results for the quarter ended September 30, 2016. The full text of the press release is attached hereto as Exhibit 99.1 and is incorporated herein by reference.

This information is intended to be furnished under Item 2.02 and Item 9.01 of Form 8-K, Results of Operations and Financial Condition and shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act ), or otherwise subject to the liabilities of that Section, nor shall be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing.

#### ITEM 9.01. Financial Statements and Exhibits

(d) Exhibits

**Exhibit No.** Description

99.1 Press release issued by CareDx, Inc. dated November 9, 2016

# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: November 9, 2016

CAREDX, INC.

By: /s/ Charles Constanti

Charles Constanti Chief Financial Officer

# EXHIBIT INDEX

**Exhibit No.** Description

99.1 Press release issued by CareDx, Inc. dated November 9, 2016