Apollo Commercial Real Estate Finance, Inc. Form 8-K March 03, 2017

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)

of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): February 27, 2017

Apollo Commercial Real Estate Finance, Inc.

(Exact name of registrant as specified in its charter)

Maryland (State or other jurisdiction

001-34452 (Commission

27-0467113 (IRS Employer

of incorporation)

File Number)

Identification No.)

c/o Apollo Global Management, LLC

10019

Edgar Filing: Apollo Commercial Real Estate Finance, Inc. - Form 8-K 9 West 57th Street, 43rd Floor

New York, New York
(Address of principal executive offices) (Zip Code)
Registrant s telephone number, including area code: (212) 515-3200

n/a

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On February 27, 2017, the Board of Directors of Apollo Commercial Real Estate Finance, Inc. (the Company) approved and adopted amendments to the Apollo Commercial Real Estate Finance, Inc. 2009 Equity Incentive Plan (the Plan) to provide that: (1) grants issued under the Plan must include a minimum vesting period of no less than one year from the date of grant, other than as a result of termination of service or in connection with a change in control, dissolution, transfer of substantially all of the assets of the Company or other similar transactions as set forth in the Plan; and (2) repricing of options or stock appreciation rights granted under the Plan will not be permitted without stockholder approval.

The foregoing summary does not purport to be complete and is qualified in its entirety by the terms of the Plan, as amended and restated, a copy of which is filed as Exhibit 10.1 to this Current Report on Form 8-K and is incorporated herein by reference.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

Exhibit

No. Description

10.1 Apollo Commercial Real Estate Finance, Inc. 2009 Equity Incentive Plan, as amended and restated.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Apollo Commercial Real Estate Finance, Inc.

By: /s/ Stuart A. Rothstein Name: Stuart A. Rothstein

Title: President and Chief Executive Officer

Date: March 3, 2017

EXHIBIT INDEX

Exhibit

No. Description

Apollo Commercial Real Estate Finance, Inc. 2009 Equity Incentive Plan, as amended and restated.