

# Edgar Filing: ROBERTS GEORGE - Form 4

ROBERTS GEORGE

Form 4

April 29, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

☐ Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue.

1. Name and Address of Reporting Person(s)

Roberts, George J.

c/o Delphi Asset Mgmt Corp.

6005 Plumas St., #202, Reno, NV 89509

(775) 689 3456; stock\_us@oracle.com

2. Issuer Name and Ticker or Trading Symbol

Oracle Corporation (ORCL)

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

4. Statement for Month/Year

04/28/03

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

☐ Director

☐ 10% Owner

☒ Officer (give title below) ☐ Other (specify below)

Executive Vice President,

North American Sales

7. Individual or Joint/Group Filing (Check Applicable Line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting Person

Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1) Title of Security | 2) Transaction Date (Month/Day/Year) | 3) Transaction Code | 4) Securities Acquired or Disposed of (A) Amount | 5) Amount of Securities Beneficially Owned Following Transaction | 6) D or I | 7) Nature of Indirect Beneficial Ownership |
|----------------------|--------------------------------------|---------------------|--------------------------------------------------|------------------------------------------------------------------|-----------|--------------------------------------------|
|----------------------|--------------------------------------|---------------------|--------------------------------------------------|------------------------------------------------------------------|-----------|--------------------------------------------|

|              |          |   |         |   |          |          |
|--------------|----------|---|---------|---|----------|----------|
| Common Stock | 04/28/03 | M | 250,000 | A | \$6.0469 |          |
| Common Stock | 04/28/03 | M | 500,000 | A | \$6.8750 |          |
| Common Stock | 04/28/03 | S | 695,400 | D | \$12.00  |          |
| Common Stock | 04/28/03 | S | 36,900  | D | \$12.01  |          |
| Common Stock | 04/28/03 | S | 17,700  | D | \$12.02  | 13,153 D |

Table II (PART 1) Derivative Securities Acquired, Disposed of, or Beneficially Owned (Columns 1

| 1) Title of Derivative Security | 2) Conversion or Exercise Price of Derivative Security | 3) Transaction Date | 4) Transaction Code | 5) Number of Derivative Securities Acquired (A) or Disposed of (D) |
|---------------------------------|--------------------------------------------------------|---------------------|---------------------|--------------------------------------------------------------------|
|---------------------------------|--------------------------------------------------------|---------------------|---------------------|--------------------------------------------------------------------|

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|                                              |          |          |   |         |
|----------------------------------------------|----------|----------|---|---------|
| Non-Qualified Stock Option<br>(right to buy) | \$6.0469 | 04/28/03 | M | 250,000 |
| Non-Qualified Stock Option<br>(right to buy) | \$6.8750 | 04/28/03 | M | 500,000 |

Table II (PART 2) Derivative Securities Acquired, Disposed of, or Beneficially Owned (Columns 1

| 1) Title of Derivative Security      | 3) Transaction Date | 7) Title and Amount of Underlying Securities | 8) Price of Derivative Security | 9) Number of Derivative Securities Beneficially Owned Following Reported Trans | 10) D or I | 11) Nature of Indirect Beneficial Ownership |
|--------------------------------------|---------------------|----------------------------------------------|---------------------------------|--------------------------------------------------------------------------------|------------|---------------------------------------------|
|                                      |                     | Title                                        | Amount or Number of Shares      |                                                                                |            |                                             |
| Non-Qual Stock Option (right to buy) | 04/28/03            | Common Stock                                 | 250,000                         |                                                                                |            | D                                           |
| Non-Qual Stock Option (right to buy) | 04/28/03            | Common Stock                                 | 500,000                         |                                                                                |            | D                                           |

SIGNATURE OF REPORTING PERSON

/s/George J. Roberts

Name: George J. Roberts

Date: 4/28/03