

JOHNSON CONTROLS INC  
Form 4  
November 18, 2004

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BARTH JOHN M**

(Last) (First) (Middle)  
**5757 N. GREEN BAY AVENUE, P.O. BOX 591**  
(Street)

**MILWAUKEE, WI 53201**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**JOHNSON CONTROLS INC [JCI]**

3. Date of Earliest Transaction (Month/Day/Year)  
**11/17/2004**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**Chairman and CEO**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				(A) or (D) Price	126,220	I	By John Barth Trust
Common Stock				(A) or (D) Price	110,204	I	By Eileen Barth Trust
Common Stock				(A) or (D) Price	14,896.31 <sup>(1)</sup>	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Phantom Stock Units/Restricted Stock Grant	(2)							(3)	(3)	Common Stock
Phantom Stock Units/LTPP	(2)							(5)	(5)	Common Stock
Phantom Stock Units/Excess Benefit Plan - Common	(2)							(7)	(7)	Common Stock
Stock Option	\$ 28.4219							11/15/2002	11/15/2010	Common Stock
Stock Option	\$ 40.115							11/14/2003	11/14/2011	Common Stock
Stock Option	\$ 40.2975							11/20/2004 <sup>(9)</sup>	11/20/2012	Common Stock
Stock Option	\$ 52.55							11/19/2005 <sup>(9)</sup>	11/19/2013	Common Stock
Stock Option	\$ 61.69	11/17/2004		A		400,000		11/17/2006 <sup>(9)</sup>	11/17/2014	Common Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BARTH JOHN M 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201	X		Chairman and CEO	

## Signatures

Arlene D. Gumm, Attorney-in-fact for John M.  
Barth

11/18/2004

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The number of underlying securities is based on the stock fund balance on 11/17/2004. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on an 11/17/2004 stock fund price of \$61.69 per share.
  - (2) The phantom stock units convert to the common stock's cash value on a one-for-one basis.
  - (3) The phantom stock units were accrued under the Johnson Controls Deferred Restricted Stock Plan and are to be settled 100% in cash upon the reporting person's retirement.
  - (4) Includes 1,583.899 phantom stock units acquired through the reinvestment of dividends on March 31, June 30 and September 30, 2004, at prices ranging from \$53.38 to \$59.15 per phantom unit.
  - (5) The phantom stock units were accrued under the Johnson Controls Long-Term Performance Plan and are to be settled 100% in cash upon the reporting person's retirement.
  - (6) Includes 478.033 phantom stock units acquired through the reinvestment of dividends on March 31, June 30 and September 30, 2004, at prices ranging from \$53.38 to \$59.15 per phantom unit.
  - (7) The phantom stock units were accrued under the Johnson Controls Equalization 401 (k) Benefit Plan and are to be settled 100% in cash upon the reporting person's retirement.
  - (8) Includes 4,096.801 phantom stock units acquired through the reinvestment of dividends on March 31, June 30 and September 30, 2004, at prices ranging from \$53.38 to \$59.15 per phantom unit.
  - (9) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.