

Winn Steve  
Form 4  
September 12, 2007

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Winn Steve

2. Issuer Name and Ticker or Trading Symbol  
NRG ENERGY, INC. [NRG]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
09/10/2007

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP, Strategy, Env and New Bus

NRG ENERGY, INC., 211  
CARNEGIE CENTER

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

PRINCETON, NJ 08540

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership: Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock, par value .01 per share	09/10/2007		M <sup>(1)</sup>		10,000 (2) \$ 19.4 (2)	A	36,638 (2) D
Common Stock, par value .01 per share	09/10/2007		M <sup>(1)</sup>		5,000 (2) \$ 15.74 (2)	A	41,638 (2) D
Common Stock, par value .01 per share	09/10/2007		S		100 \$ 38.85	D	41,538 D

Edgar Filing: Winn Steve - Form 4

Common Stock, par value .01 per share	09/10/2007	S	1,200	D	\$ 38.885	40,338	D
Common Stock, par value .01 per share	09/10/2007	S	300	D	\$ 38.915	40,038	D
Common Stock, par value .01 per share	09/10/2007	S	500	D	\$ 38.92	39,538	D
Common Stock, par value .01 per share	09/10/2007	S	900	D	\$ 38.93	38,638	D
Common Stock, par value .01 per share	09/10/2007	S	200	D	\$ 38.94	38,438	D
Common Stock, par value .01 per share	09/10/2007	S	100	D	\$ 38.95	38,338	D
Common Stock, par value .01 per share	09/10/2007	S	400	D	\$ 38.96	37,938	D
Common Stock, par value .01 per share	09/10/2007	S	1,500	D	\$ 38.97	36,438	D
Common Stock, par value .01 per share	09/10/2007	S	800	D	\$ 39.01	35,638	D
Common Stock, par value .01 per share	09/10/2007	S	400	D	\$ 38.98	35,238	D
Common Stock, par value .01 per share	09/10/2007	S	100	D	\$ 38.99	35,138	D
	09/10/2007	S	700	D	\$ 39	34,438	D

Edgar Filing: Winn Steve - Form 4

Common Stock, par value .01 per share							
Common Stock, par value .01 per share	09/10/2007	S	500	D	\$ 39.02	33,938	D
Common Stock, par value .01 per share	09/10/2007	S	500	D	\$ 39.03	33,438	D
Common Stock, par value .01 per share	09/10/2007	S	400	D	\$ 39.04	33,038	D
Common Stock, par value .01 per share	09/10/2007	S	400	D	\$ 39.05	32,638	D
Common Stock, par value .01 per share	09/10/2007	S	200	D	\$ 39.055	32,438	D
Common Stock, par value .01 per share	09/10/2007	S	400	D	\$ 39.06	32,038	D
Common Stock, par value .01 per share	09/10/2007	S	100	D	\$ 39.13	31,938	D
Common Stock, par value .01 per share	09/10/2007	S	100	D	\$ 39.14	31,838	D
Common Stock, par value .01 per share	09/10/2007	S	5,100	D	\$ 39.22	26,738	D
Common Stock, par value .01 per share	09/10/2007	S	100	D	\$ 39.23	26,638	D

Edgar Filing: Winn Steve - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Non-Qualified Stock Option	\$ 19.4 <sup>(2)</sup>	09/10/2007		M <sup>(1)</sup>	10,000	<sup>(3)</sup> 08/01/2011	Common Stock, par value \$0.01 per share
Non-Qualified Stock Option	\$ 15.74 <sup>(2)</sup>	09/10/2007		M <sup>(1)</sup>	5,000	<sup>(4)</sup> 11/19/2014	Common Stock, par value \$0.01 per share

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Winn Steve NRG ENERGY, INC. 211 CARNEGIE CENTER PRINCETON, NJ 08540			EVP, Strategy, Env and New Bus	

## Signatures

/s/ Tanuja M. Dehne, under Power of Attorney  
09/11/2007

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Transaction made pursuant to a 10b5-1 plan dated August 9, 2007.
- (2) On May 2, 2007, NRG Energy, Inc. announced a 2-for-1 stock split in the form of a stock dividend paid on May 31, 2007 to all holders of record of Common Stock on May 22, 2007. The Company's Common Stock began trading on a split-adjusted basis on June 1, 2007.
- (3) Pursuant to the Grant Agreement by and between NRG Energy, Inc. and Mr. Winn, the Stock Options vested and became exercisable as follows: 33 1/3% on August 1, 2006 and 33 1/3% on August 1, 2007. The remaining 33 1/3% will vest on August 1, 2008.
- (4) Pursuant to the Grant Agreement by and between NRG Energy, Inc. and Mr. Winn, the Stock Options vested and became exercisable as follows: 33 1/3% on November 19, 2005 and 33 1/3% on November 19, 2006. The remaining 33 1/3% will vest on November 19, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.