Hoffmann M Stephen Form 4 January 05, 2010

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

#### Check this box if no longer subject to Section 16. Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

1(b).

(Last)

(City)

(Print or Type Responses)

obligations

may continue.

See Instruction

| 1. Name and Address of Reporting Person |
|---|
| Hoffmann M Stephen                      |
|   |

(First)

(Street)

(State)

(Middle)

(Zin)

Symbol

NRG ENERGY, INC. [NRG]

01/03/2010

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer (Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify below) Sr VP & Pres, Western Region

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

**OMB APPROVAL** 

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3235-0287

January 31,

2005

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Number:

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response...

PRINCETON, NJ 08540

NRG ENERGY, INC., 211

**CARNEGIE CENTER** 

| (City)   | (State) (           | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |                  |                    |  |                            |                        |  |  |
|--|---------------------|--|--|------------------|--------------------|--|----------------------------|------------------------|--|--|
| 1.Title of                                     | 2. Transaction Date |  | 3.   | 4. Securities A  |                    | 5. Amount of                             | 6. Ownership               |                        |  |  |
| Security (Instr. 3)                            | (Month/Day/Year)    | Execution Date, if any   | Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) |                  |                    | Securities<br>Beneficially               | Form: Direct (D) or        | Indirect<br>Beneficial |  |  |
|  |                     | (Month/Day/Year)   | (Instr. 8)   |                  |                    | Owned<br>Following                       | Indirect (I)<br>(Instr. 4) | Ownership (Instr. 4)   |  |  |
|  |                     |  |  | (A)<br>or        |                    | Reported Transaction(s) (Instr. 3 and 4) | (msu. 4)                   | (IIIsti. 4)            |  |  |
|  |                     |  | Code V   | Amount (D)       | Price              | (Ilisti. 3 alid 4)                       |                            |                        |  |  |
| Common<br>Stock, par<br>value .01<br>per share | 01/03/2010          |  | F  | 675 <u>(1)</u> D | \$<br>23.61<br>(1) | 7,846 (2)                                | D                          |                        |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | f 2.         | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exer  | cisable and | 7. Titl | le and      | 8. Price of | 9. Nu  |
|-------------|--------------|---------------------|--------------------|------------|------------|---------------|-------------|---------|-------------|-------------|--------|
| Derivativ   | e Conversion | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D  | ate         | Amou    | ınt of      | Derivative  | Deriv  |
| Security    | or Exercise  |                     | any                | Code       | of         | (Month/Day/   | /Year)      | Under   | rlying      | Security    | Secui  |
| (Instr. 3)  | Price of     |                     | (Month/Day/Year)   | (Instr. 8) | Derivativ  | e             |             | Secur   | ities       | (Instr. 5)  | Bene   |
|             | Derivative   |                     |                    |            | Securities | S             |             | (Instr. | . 3 and 4)  |             | Own    |
|             | Security     |                     |                    |            | Acquired   |               |             |         |             |             | Follo  |
|             |              |                     |                    |            | (A) or     |               |             |         |             |             | Repo   |
|             |              |                     |                    |            | Disposed   |               |             |         |             |             | Trans  |
|             |              |                     |                    |            | of (D)     |               |             |         |             |             | (Instr |
|             |              |                     |                    |            | (Instr. 3, |               |             |         |             |             |        |
|             |              |                     |                    |            | 4, and 5)  |               |             |         |             |             |        |
|             |              |                     |                    |            |            |               |             |         | A           |             |        |
|             |              |                     |                    |            |            |               |             |         | Amount      |             |        |
|             |              |                     |                    |            |            | Date          | Expiration  | T:41-   | or<br>Namel |             |        |
|             |              |                     |                    |            |            | Exercisable 1 | Date        |         | Number      |             |        |
|             |              |                     |                    | C-1- V     | (A) (D)    |               |             |         | of          |             |        |
|             |              |                     |                    | Code v     | (A) (D)    |               |             |         | Shares      |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Other Director 10% Owner Officer

Hoffmann M Stephen NRG ENERGY, INC. 211 CARNEGIE CENTER PRINCETON, NJ 08540

Sr VP & Pres, Western Region

### **Signatures**

/s/ M. Stephen 01/05/2009 Hoffmann

\*\*Signature of Reporting Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On January 3, 2007, Mr. Hoffmann was issued 1,600 Restricted Stock Units ("RSU's") by NRG Energy, Inc. under NRG Energy, Inc.'s Long Term Incentive Plan (the "LTIP"). Each RSU is equivalent in value to one share of NRG's Common Stock, par value \$0.01. On

- January 3, 2010, the RSUs vested. Pursuant to the LTIP, Mr. Hoffmann elected to satisfy his tax withholding obligation upon the exchange of common stock for RSU's by surrendering a number of shares of common stock having a value on the date of the exchange equal to the tax withholding obligation. This form is being filed to reflect the surrender of 675 shares of common stock to satisfy the grantee's tax withholding obligation.
- (2) Includes 336 shares acquired under NRG Energy, Inc.'s Employee Stock Purchase Plan on December 31, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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